



DRAFT 2020-2021 CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT (CAPER)

For the Community Development Block Grant (CDBG),
Home Investment Partnership (HOME), and
Emergency Solutions Grant (ESG) Programs

Prepared by the City of Stockton - Economic Development Department
For Information contact (209) 937-8539

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CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan.

91.520(a)

The City of Stockton's Consolidated Annual Performance and Evaluation Report (CAPER) for FY 2020-2021 identifies Federal, State and local funding sources allocated to the City to address the priorities established in the City's Five Year 2020-2024 Consolidated Plan. The City of Stockton's federal FY 2020-2021 Community Development Block Grant (CDBG) allocation was \$5,960,219, its HOME Investment Partnership fund (HOME) allocation \$1,793,808 and its Emergency Solutions Grant (ESG) allocation of \$292,582. Utilizing (CDBG), HOME, ESG, and in some instances, state and local funding, public services were provided to 47,689 people with CDBG, 4,085 households (or 13,375 people) received help with Fair Housing inquiries, emergency shelter or homeless services was provided to 2,209 with ESG, and food was provided to 41,965 people. Four public facility projects were completed with CDBG grant funds.

Two multifamily rehabilitation projects were completed in FY 20-21. The City provided HOME funding to the Housing Authority of San Joaquin County's Sierra Vista Phase Two project located at 2436 Bellevue Avenue in South Stockton, for the construction of 100 newly rehabilitated one-to-four-bedroom units. Under the CDBG Housing Rehab Programs, two residential emergency repair loan project was funded and completed.

For the Economic Development Programs, the Fresh Produce Grant- Stocked Full of Produce funded one local grocery store; the Commercial Façade Improvement Program funded 2 businesses, the Small Business Micro Loan Program funded 2 business which provided 2 full time employee jobs and through the Stockton Entrepreneurship Program, over 700 businesses were provided business startup mentorship and/or technical assistance to their existing businesses.

The City's CDBG allocation and other local funding sources allowed the following FY 2020-24 Consolidated Plan priorities to be addressed:

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source/Amount	Indicator	Unit of Measure	Expected Program Year	Actual Program Year	Percent Complete
Housing and Services for the Homeless	Affordable Housing Public Housing Homeless	ESG: \$175,584	Homeless Person Overnight Shelter	Persons Assisted	3,989	2,178	55%
Housing and Services for the Homeless	Affordable Housing Public Housing Homeless	ESG: 47,500	Homeless Prevention	Persons Assisted	192	23	12%
Housing and Services for the Homeless	Affordable Housing Public Housing Homeless	ESG: \$47,500	Rapid Rehousing	Persons Assisted	48	8	17%
Housing and Services for the Homeless	Affordable Housing Public Housing Homeless	CDBG: ESG: 0	Public Services activities other than Low/Moderate Income Housing Benefit	Persons Assisted	1,760	2,657	151%
Housing and Services for the Homeless	Affordable Housing Public Housing Homeless	CDBG: \$125,000	Public Facility other than Low/Moderate Income Housing Benefit	Persons Assisted	330	0	0%
Affordable Housing	Affordable Housing Public Housing Homeless	HOME: \$796,904	Rental units constructed	Household Housing Unit	13	0	0%
Affordable Housing	Affordable Housing Public Housing Homeless	HOME: 796,904	Rental units rehabilitated	Household Housing Unit	4	0	0%
Affordable Housing	Affordable Housing Public Housing Homeless	CDBG: \$145,202	Homeowner Housing Rehabilitated	Household Housing Unit	4	2	50%
Affordable Housing	Affordable Housing Public Housing Homeless	CDBG: \$0	Direct Financial Assistance to Homebuyers	Households Assisted	3	0	0%
Support Economic Development	Non-Housing Community Development	CDBG: \$126,138	Façade treatment/business building rehabilitation	Businesses	3	1	33%
Support Economic Development	Non-Housing Community Development	CDBG: \$18,911	Jobs created/retained	Jobs	5	1	20%
Support Economic Development	Non-Housing Community Development	CDBG: \$199,078	Businesses assisted	Businesses Assisted	9	8	69%
Public Services	Non-Homeless Special Needs Non-Housing Community Development	CDBG: \$502,942	Public Service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	25,598	217,304	849%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

The City's CDBG allocation and other local funding sources allowed the following FY 2020-25 Consolidated Plan priorities to be addressed:

Goal 1: Housing Services for the Homeless. Provide housing and services for the City's homeless population, including homelessness prevention. *Increase and maintain transitional housing opportunities. Expand housing first model to provide permanent housing units with intense wrap around services on-site.*

Goal 2: Affordable Housing. Preserve, improve, and expand the supply of quality affordable housing for lower-income households. *Increase the supply of affordable multifamily housing. Provide homeownership opportunities for first-time buyers. Assist existing low-income owner-occupied households keep their homes safe and well maintained by providing rehabilitation assistance.*

Goal 3: Services for Special Needs. Provide supportive services necessary to meet the needs of the City's non-homeless special needs populations. *Improve community health care, including basic and specialty care. Support and expand programs and opportunities that engage senior as well as youth.*

Goal 4: Support Economic Development. Promote economic development activities that create, attract, and retain jobs and promote economic activity and vitality, especially those that provide economic opportunities for low- and moderate-income persons. *Prioritize and expand job readiness programs targeting low-income youth, disabled persons, and homeless persons.*

Goal 5: Public Services. Ensure the provision of high-quality public services to support ongoing community development, including the provision of funding for fair housing services, among other activities. *Actively and faithfully promote fair housing and investigate housing discrimination. Prioritize the maintenance and improvement of municipal services, facilities, and infrastructure.*

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).
91.520(a)

	CDBG	HOME	ESG
White	69,227	3	2,732
Black or African American	36,949	4	1,489
Asian	24,945	1	127
American Indian or American Native	4,268	0	114
Native Hawaiian or Other Pacific Islander	11,358	0	59
Total	146,747	8	4,521
Hispanic	75,555	0	1,834
Not Hispanic	138,530	8	2,918

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

Under Emergency Solutions Grant data, 348 individuals reported either identifying with multiple races, did not know their race or data not collected. Total number of persons for ESG Race column is 4,869. For ethnic composition, 117 individuals did not know or provide this data. Under Community Development Block Grant Data, 70,557 individuals reported identifying with multiple races. Total number of persons for CDBG Race column is 217,304.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	9,147,975	4,395,065
HOME	public - federal	1,793,808	772,781
ESG	public - federal	428,036	322,629

Table 3 - Resources Made Available

Narrative

The City of Stockton received the combined program income amount of \$1,238,439 in CDBG and HOME in FY 2020-2021. Of that amount, \$931,091 was CDBG/PI, \$274,497 HOME/PI, and \$32,851 CDBG/Revolving Loan Fund/PI. The City's Revolving Loan Fund earned \$15,630.80 in interest during the fiscal year, and a wire transferring that amount back to HUD was completed on September 10, 2020.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
City-wide	98%	100%	Other

Table 4 – Identify the geographic distribution and location of investments

Narrative

The City makes its programs and resources available on a citywide basis but provides additional outreach and recruitment in areas with high concentrations of unmet needs. For example, the housing rehabilitation programs, and down-payment assistance programs are equally available to qualifying residents in all geographic sub-areas, with eligibility being determined based on the income of the household receiving assistance.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

The City leveraged our CDBG entitlement with \$1.8 million of federal HOME Investment Partnership (HOME) and Successor Agency Program Income funding to increase or preserve the number of affordable multi-family units in Stockton, and to provide additional grants to agencies that assist low-income persons and family throughout Stockton. The City awarded the following affordable housing pipeline developments funding in FY 2020:

The City awarded nine proposed affordable housing projects more than \$6.3 million in funding to support the development of over 0500 units of affordable housing for Stockton's most vulnerable residents.

- The City allocated a total of \$200,000 of surplus General Funds for the establishment of the Winter Shelter Beds, which provided funding to the City's two primary emergency homeless shelters, so that they could expand their bed capacity by 100 beds during the cold winter months from December through April.
- The City waived over \$1.2 million of development impact fees of proposed affordable housing projects.

The City of Stockton has 13 city owned lots and 30 privately owned sites that have been identified for potential development under its Brownfield Project. The City received a grant from the Environmental Protection Agency (EPA) to conduct Phase I/II environmental reviews in Downtown Stockton for brownfield sites, with the goal of sparking investment interest and redevelopment. Two of these brownfield sites have been through the EPA's eligibility approval process. One of the sites, South Pointe property, was highlighted in a recently published Request for Interest (RFI). The remaining sites are in the pipeline to obtain EPA eligibility approval and to determine if it makes sense for those sites to move forward with a Phase I/II.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	95,218,414
2. Match contributed during current Federal fiscal year	0
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	95,218,414
4. Match liability for current Federal fiscal year	0
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	95,218,414

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
N/A								

Table 6 – Match Contribution for the Federal Fiscal Year

HOME report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
479,558	274,497	486,348	0	267,707

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0.00	0	0	0	0	0
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired	0	0				
Businesses Displaced	0	0				
Nonprofit Organizations Displaced	0	0				
Households Temporarily Relocated, not Displaced	0	0				
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	13	57
Number of Non-Homeless households to be provided affordable housing units	11	100
Number of Special-Needs households to be provided affordable housing units	0	0
Total	24	157

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	13	4
Number of households supported through The Production of New Units	0	113
Number of households supported through Rehab of Existing Units	11	40
Number of households supported through Acquisition of Existing Units	0	0
Total	24	157

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

1. The City's housing goals and outcomes were negatively impacted due to the Lack of affordable smaller units targeting smaller families (2-4 household members). 65 percent of Stockton's housing stock is comprised of large single-family homes consisting of three bedrooms or more, however, 45% of Stockton renter households are comprised of small families causing these smaller families to seek out rental opportunities of homes larger than what is needed in size creating a cost burden putting them at imminent risk of homelessness.

2. The lack of affordable housing units for extremely low and very-low-income residents. The Consolidated Plan highlights that 54% of Stockton residents are designated as either extremely low income or very-low-income residents; however, the number of available units with rents affordable to very-low income households is less than 8%.

3. Additionally, landlords are no longer willing to rent to very- low-income families and/or individuals that participate in federal or locally subsidized rental housing assistance programs such as the Housing Choice Voucher (HCV) and the Rapid Rehousing programs due to the federal programs’ restrictions on the maximum amount of fair rent that can be charged. The HCV and Rapid Re-Housing programs were established to ensure that low-income families do not pay more than 30 percent of their income for housing. However, the HUD fair market rents are typically lower than the actual market rate rents in Stockton. This requires property owners to lower their rent, thus potentially reducing their income stream in order to help a low-income person obtain housing.

Discuss how these outcomes will impact future annual action plans.

The City continues to implement its priority goals of increasing the number of affordable housing units for the City’s most vulnerable residents, even in the midst of an economy impacted by the COVID-19 pandemic. Additionally, the City has successfully secured over \$15 million in affordable housing funding from the State to support the development of affordable rental and homeownership housing opportunities. The City is also moving forward with development of the following housing developments:

- Service First’s Hunter House project a proposed 120-unit new construction development.
- Service First’s 105-unit Fontana Towers Project to house low-income, disabled, and homeless individuals in Stockton.
- Central Valley Low Income Housing Corporation and Stocktonians Taking Action to Neutralize Drugs (STAND) Town Center Studios, providing for the rehabilitation of 40 units of permanent supportive housing for chronically homeless individuals with extremely low incomes.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	0	53
Low-income	2	104
Moderate-income	0	0
Total	2	157

Table 13 – Number of Households Served

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The city is working closely with its shelter providers in the region to strategically plan and align funding to reach out and assist unsheltered individuals in the community, including individuals living in encampments.

The City of Stockton collaborated with San Joaquin County, and the San Joaquin Continuum of Care (COC) in the establishment of a regional strategic homelessness plan, which allowed the City, County and the COC to apply for Homeless Housing, Assistance and Prevention Program (HHAP) funds authorized by California Assembly Bill 01 (AB 101) combined funding totaled approximately \$12 million. The City of Stockton has partnered with United Way of San Joaquin to administer an Unsheltered Survey of homeless service providers, government, and other stakeholders to obtain valuable feedback on challenges surrounding homelessness in the region. The Unsheltered Survey of homeless individuals conducted this winter of individuals living in encampments provided feedback regarding their lived experience, as well as issues surrounding COVID-19 to help determine why the shelters are not at full capacity. Through various meeting with homeless persons and homeless service providers, some have noted that COVID-19 may be a barrier to homeless persons seeking shelter out of fear of exposure to the coronavirus. The goal of the Unsheltered Survey was to get an improved understanding of how to serve individuals living outdoors in encampments and motor vehicles, and other places not meant for human habitation by identifying their needs based on their lived experience. data collected will be used by the city to attempt to align resources and strategically plan future funding. The 2019 Point in Time Count (PITC) indicated that there were 799 unsheltered homeless adults living on the street in Stockton on any given night.

Addressing the emergency shelter and transitional housing needs of homeless persons

The City of Stockton through State of California Cares Act funding has provided more than \$1.5 million in funding to the shelters to provide:

- 1) Increase emergency shelter bed capacity during the COVID 19 pandemic.
- 2) Increase overall new shelter bed capacity (118 beds).
- 3) Purchase non-capital equipment for shelter kitchens and dining rooms.
- 4) Provided the shelter with hygiene supplies.
- 5) Provided COVID related supplies including personal protective equipment (PPE) and

- cleaning supplies
- 6) Provided the shelters funding to increase staffing to support homeless operations and case management
 - 7) Expand unsheltered homeless street outreach
 - 8) Provide mobile health clinic services for unsheltered homeless persons at encampments.
 - 9) Expand health care services at the shelters to contain and/or mitigate the spread of COVID 19.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The City of Stockton was awarded funding from the State of California for the Homeless, Housing, Assistance, and Prevention Program which, brought more than six million dollars (\$6,000,000) to the City to fund homeless and housing services. HHAP funds are designed to support regionally coordinated efforts between the County, City, and the Continuum of Care (CoC) to expand and develop local capacity to address immediate homelessness challenges throughout the State. HHAP funds are focused on moving homeless individuals and families into permanent housing and ensuring those individuals and families maintain their permanent housing.

HHAP grant funding will be used for a variety of housing development and service-related activities including, but not limited to: acquisition, construction hard costs, rental assistance, rapid re-housing, landlord incentives, establishing a coordinated regional system of care, establishment of operating reserves, and subsidies in new or existing affordable supportive housing units and emergency shelters to ensure the ongoing operations of those facilities, the development of navigation centers, and permanent housing.

The City identified 12 entities to receive funding through a competitive process. Eight percent (8%) of the HHAP funds are set aside specifically for youth homelessness, which will address serving youth up to age 24 who are leaving foster care facilities or other systems of care, to prevent and end their homelessness. In addition, this winter the city is working with The United Way of San Joaquin to complete an Unsheltered Survey of homeless individuals to glean more information regarding who the unsheltered people are within the city, along with identifying special populations such as youth, Veterans, single women, domestic violence victims, and the chronically homeless.

Helping homeless persons (especially chronically homeless individuals and families, families

with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The City of Stockton collaborated with San Joaquin County and the San Joaquin Continuum of Care to create a Community Response to the Homelessness Strategic Plan that was adopted by the city on July 14, 2020, and adopted by the County, CoC and a majority of the cities within San Joaquin County. The development of the strategic plan process included community forums with stakeholders, and lived experience focus groups. In addition, City staff has attended all CoC membership meetings, served on the CoC strategic planning committee, shelter committee, coordinated entry committee, and served on the CoC board. City staff has also held initial meetings with all identified entities working in outreach to further outreach coordination activities amongst stakeholders.

All the emergency homeless shelter and homeless services providers such as Gospel Center Rescue Mission, Haven of Peace, Women's Center Youth and Family Services, St. Mary's Dining Room all aim to help the homeless transition into permanent housing and self-sufficiency. In addition, Stockton Shelter for the Homeless' Working for the Future Program is a good example of how they are providing a pathway to independent living through on-the-job training as case managers.

The City continues to collaborate with its stakeholders to create a Homeless Unsheltered Housing Policy that will help jurisdictions to work locally and regionally on homelessness and implementing a plan that taps into local internal department resources such as the Economic Development, public works, police, and fire. The overall goal of an Unsheltered Policy is to identify ways to strategically manage encampments, decrease the criminalization of homelessness, increase access to services for our homeless neighbors, while increasing coordination amongst City divisions.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

Given the significant number of affordable housing units and vouchers the Public Housing Authority (PHA) of San Joaquin County's goal is to improve the quality of life of its residents while maintaining an efficient and effective operation of its properties by providing supportive services and/or referrals to services; maintaining and expanding its Family Self Sufficiency program (FSS) by applying to HUD for additional FSS Coordinator funds as they become available; development of a long term capital improvement and/or rehabilitation plan for all housing authority owned properties to ensure that the units remain of good quality.

Additionally, the City of Stockton and the local Public Housing Authority (PHA) of San Joaquin County often collaborate to leverage resources to meet the needs of the Housing Authority's public housing residents, which makeup a large portion of the City's very low-income population. Two of the public housing complexes owned and operated by the PHA are within the City of Stockton, Conway Homes and Sierra Vista Homes both developments have benefit from local CDBG and HOME funding. Conway Homes features 436 units, while Sierra Vista currently features 305 units. The PHA is currently in the second phase of a multiphase redevelopment of Sierra Vista Homes. Prior to the redevelopment project, Sierra Vista had 396 units. Upon completion of the redevelopment project, Sierra Vista will have between 500 and 550 new units, resulting in a net increase of 104 to 154 new units. This would bring the total number of public housing units in Stockton to between 936 and 986 units.

Currently, the City is a strategic partner with the PHA regarding the redevelopment of Conway Homes, a 450-unit housing development. The City is partnered with the PHA regarding Conway Homes to help continue to define the use of an adjacent non-housing-developable City owned lot that will be used for multi-purpose sports recreation for the families living in Conway Homes. The City is also working with the PHA to assisting with defining the ongoing educational needs of youth and families residing in Conway Homes.

In addition, the City will utilize funds from the State of California - Homeless, Housing, Assistance, and Prevention Program which will provide the PHA with funds to incentivize landlords after the completion of acquisition and capital construction of a 13-unit supportive permanent housing development. The development will house persons who require mental health services and are experiencing homelessness, chronic homelessness, or who are at risk of homelessness, including persons with mental illness exiting institutionalized settings with a history of homelessness before institutionalization and transition-age youth experiencing

homelessness or significant barriers to housing stability.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

HUD requires that all Housing Authorities (HA) establish a Resident Advisory Board (RAB) for the purpose of soliciting input and feedback on the Housing Authority's required Five Year and Annual Plan from residents of its public housing and participants of its Housing Choice Voucher program (HCV).

There are two parts to the HA Plan: the 5-Year Plan, which each PHA submits to HUD once every 5 years, and the Annual Plan, which is submitted to HUD every year. San Joaquin Housing Authority staff presents its Five Year and Annual Plan to its RAB members for review and feedback regarding policies, programs, operations, and strategies for meeting their local housing needs and goals.

Additionally, the City continues to maintain a partnership with the local Housing Authority to assist public housing residents achieve homeownership. The City's Down Payment Assistance Program funds can be used in conjunction with the Housing Authority's homebuyer program funds including the Housing Choice Voucher Program.

Under the Housing Authority's homeownership program, assistance is available to Housing Choice Voucher (HCV) participants who meet the home ownership eligibility requirements. The homeownership program allows first time homebuyers to use the voucher subsidy to meet monthly homeownership expenses. HCV participants interested in applying for the HCV Homeownership program must meet the following minimum criteria:

- Must be a participant of the HCV program for at least one year in San Joaquin County
- Must be a 1st time homebuyer
- Meet the minimum employment and income requirements
- Must be employed for at least 30 hours per week (except for disabled)
- Must not have defaulted on a mortgage under the HCV Homeownership Program

The homeownership program has a maximum term of 15 years. There are exceptions for disabled families. This program is limited. The total current voucher allocation is 5,263 which subgroup allocations for 259 vouchers for eligible homeless veterans under VASH, 133 vouchers for homeless nonelderly disabled households under its Mainstream subprogram and 74

vouchers for families whose children are in and out of home care, or youth transitioning out of foster care under its Family Unification Program.

Actions taken to provide assistance to troubled PHAs

The Housing Authority of San Joaquin County is not designated as “troubled” by HUD.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

The Housing Element of the City of Stockton General Plan, adopted in April 2016, provides a thorough analysis of the existing policies and programs to achieve fair housing choice for all Stockton residents as well as provide an analysis of the various barriers to affordable housing development. Based on the City's Housing Element analysis the city has increased its permitted densities, updated several of its development standards identified that the adopted Development Code facilitates the production of a variety of housing types, with residential development permitted in non-residential and mixed-use zones, with permitted densities up to 87 units per acre in the Downtown. The Housing Element states that the City applies flexible development standards for infill housing projects in order to encourage the development of underutilized properties, however, development standards may be modified to create consistency with surrounding development and physical site constraints. The Housing Element determined that the City's overall parking standards did not constitute a constraint, nor does processing and permitting. Since the last Housing Element, the City's Density Bonus provisions have been made consistent with State law. They allow for a density bonus of up to 35 percent and allows developers that are eligible for a density bonus to receive up to three additional incentives.

The Community Development Department (CDD) took steps to adopt incremental changes to the Development Code, primarily to address inconsistencies with the recently adopted 2040 General Plan and changes to State laws implemented since 2017 related to the housing crisis. One the three phases were adopted to address more urgent issues; the remainder of the code is anticipated to receive an overhaul in late 2021/early 2022.

Phase one was adopted by City Council June 9, 2020, which included updates to maximum residential densities allowed in the Downtown and Greater Downtown areas for consistency with 2040 General Plan policies, revisions to the Accessory Dwelling Unit (ADU) ordinance, Emergency Shelters, Large Child Care Facilities, and streamlining to encourage more ministerial reviews of projects.

Phase two was presented to City Council on December 1, 2020, and included several pro-housing policies, as encouraged by the California Department of Housing and Community Development, including, enhanced Density Bonuses and incentives above and beyond state requirements, parking reductions/incentives for affordable housing/senior

housing/developments near the ACE station, provisions to allow both ADUs and Junior ADUs on the same single family parcel, and changes to Rooming and Boarding Houses, Transitional Housing and Supportive Housing, Low Barrier Navigation Centers, and Co-living (Single Room Occupancy) to align with the state laws and the City's Housing Element.

Concurrently, CDD is processing a city-wide zoning map update for consistency with the 2040 General Plan land use map. State law changed two years ago to include charter cities in zoning – GP consistency. The first phase of the zoning map update was presented to the Planning Commission in December 2020, with the following phases occurring in 2021.

Phase three of the Development Code update will address changes required by the zoning map consistency update in 2021.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

Stockton continued to take critical steps to mitigate the market and government factors that constrain the development of affordable housing in the City.

The City streamlined the approval and review processes for affordable and infill housing projects, working to provide timely and accurate information to developers and ensuring that application and development fees do not unnecessarily constrain the production of affordable housing, including small infill and single-family ownership housing projects as well as larger multifamily housing developments. The City's Community Development Department continued to work on adopting incremental changes to the Development Code which will allow the City to update and streamline outdated land use practices. The City leveraged funds received with other resources and used our programs in conjunction with other city initiatives, including our single-family rehabilitation program. The City continued to use its NOFA process to solicit for eligible affordable housing projects to fund.

The City continued to work with financial institutions through support provided to fair housing advocate groups, such as SJFH, to improve access to financing for lower-income and minority homebuyers.

The City continued to work with several non-profit and public service agencies to address the need to the underserved populations in the City of Stockton through the following actions:

- The City has maintained and improved access to information by having established links on its website to direct visitors to the San Joaquin Fair Housing (SJFH) website and to websites associated with other housing-related organizations for fair housing information, housing services and resources.

- The City continued to support SJFH through the annual allocations of CDBG funds. SJFH continues to explore funding sources to allow a comprehensive fair housing testing program.
- Even during the COVID-19 pandemic, SJFH has continued to provide education on Federal and State Housing laws and complaint investigation services to Stockton residents and has come close to meeting its FY20-21 goals by working with 3,226 households.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

The actions described in the Lead Based Paint Hazards section of the Consolidated Plan were carried out as needed during FY 2020-21. The City of Stockton has collaborated with the following agencies to identify and reduce lead-based paint incidences: San Joaquin Housing Authority, San Joaquin County Public Health Services, and San Joaquin County Environmental Health.

The City of Stockton becomes involved in lead-based paint hazard evaluation and reduction as a result of its implementation and operation of all federally funded projects available to the residents of Stockton. This includes projects funded with Community Development Block Grant, HOME, and Neighborhood Stabilization Program funds. Housing units that were recommended to be rehabilitated were inspected and if necessary, tested for lead-based paint hazards. Based on the test results, any identified hazards are remediated prior to unit occupancy.

The City has complied with all federal requirements related to prevention of lead-based paint poisoning as provided in the Residential Lead-Based Pain Hazard Act of 1992, also commonly referred to as Title X, Housing and Community Development Act of 1992.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

The City's Consolidated Plan included an Antipoverty Strategy. The activities in the City's Antipoverty Strategy can be classified under two categories: Economic Development and Supportive Service Programs. The Consolidated Plan recognized that for households to transition from unemployment or low-income positions to median income positions, there needs to be vocational training, resource management, and life skill training. The Plan also recognized that while households are obtaining the training, the families will continue to need assistance in meeting their basic needs.

In these difficult economic conditions, the City's Community Development Committee determined that allocating scarce resources to those agencies that will provide some of the most basic services, such as food, to the most number of people was the best way to assist

households living in poverty.

Consistent with the City's Antipoverty Strategy, the City funded the following programs:

- Four programs that provided food and nutritional education to homeless and low-income individuals;
- Non-staff operational costs for four emergency shelters. In addition to providing shelter, these organizations also provided services that assist individuals in achieving self-sufficiency;
- Homelessness prevention and re-housing activities which helped keep households in their homes or provided assistance to re-housing households that have become homeless; and
- Non-staff operating costs for the St. Mary's Dental Clinic, Social Services, and Medical Clinic.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

The City of Stockton Economic Development Department is the lead agency responsible for the development of the Consolidated Plan and Annual Action Plan. The Economic Development Department is also the primary agency responsible for the administration and management of programs and projects covered by these Plans.

During the 2020-21 Program Year the City:

- Currently works with private housing developers to expand the availability of affordable housing.
- Continued to participate in the San Joaquin County Continuum of Care Board and Committees.
- Continued implementation of the Housing Element.
- Work with affordable housing developers to ensure that their developments include the facilities to provide the services needed for the residents.
- Continued to engage with participants in the establishment of goals and objectives of the Consolidated Plan to ensure measurable progress is made.
- Updated the City website, provided handouts and program guidelines to keep the public informed.

Actions taken to enhance coordination between public and private housing and social service

agencies. 91.220(k); 91.320(j)

To facilitate preparation of the FY 2020-2025 Consolidated Plan, the City of Stockton consulted with various agencies and organizations located throughout the city and county, including public and assisted housing providers and developers, an assortment of government agencies, as well as private and public health, mental health, and social service agencies. In most cases, these consultations represent a continuation of ongoing interactions between the City and the agency or organizations described.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

An Analysis of Impediments to Fair Housing Choice (AI) was completed in 2020 for the 2020-2025 period. Similar to the findings of the previous AI, the report found that in general, discrimination based on race/ethnicity is not a significant impediment to fair housing choice in Stockton.

During the 2020-2021 program year, the following actions were taken to implement the recommendations in the AI:

The City maintained links on its website to direct visitors to housing services and resources. City staff have also continued learning about fair housing services and what calls and concerns are appropriate to refer to San Joaquin Fair Housing (SJFH). The City of Stockton has also shared SJFH's workshop flyer on its social media.

The City has also continued financial support of SJFH. In spite of the challenges presented during the pandemic, SJFH remained open and was able to answer questions from tenants and landlords about housing issues impacted by COVID-19, including the moratorium on evictions, share documents for tenants to give to landlords regarding tenant's loss or reduced income due to the pandemic, and advise landlords on how they could work with tenants impacted by the pandemic. Through the year, SJFH provided services to 3,226 families with a household size of 10,846 persons, close to their pre-pandemic goal. The use of its website as an informational resource continues to increase each year.

As recommended in the AI, SJFH and the jurisdictions which support it continue to explore funding sources to allow a comprehensive fair housing testing program.

On March 17, 2020, the City Council passed a temporary (two month) moratorium on tenant evictions for nonpayment of rent if inability to pay is related to COVID-19. On June 9, 2020, City Council passed an ordinance that amended a temporary moratorium on residential tenant evictions for those whose incomes or ability to work was impacted by COVID-19, until 90 days

after the California governor lifts the state of emergency related to COVID-19.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

The City adheres to its Citizen Participation Plan in providing for and encouraging public participation in the development, administration and disbursement of its federal funding. The City makes a conscious effort to consult its citizens. Public outreach is conducted to ensure that minorities, non-English-speaking persons, low- and moderate-income persons, persons with disabilities, and advocates for seniors, business stakeholders, homeless persons, populations for whom the programs are designed to serve are aware of how to provide feedback.

The City uses several types of monitoring to ensure compliance with the various funding regulations, including annual certification of residency letters, formal site visit monitoring, phone calls and emails throughout the life of a project, and reviewing performance reports and organization's audits before and after funding. Typically, the City has a regular site visit monitoring schedule which includes all multi-family housing programs and subrecipients and organizations receiving funding through these programs; however, formal site visits have been temporarily suspended as a result of the COVID-19 pandemic. All single-family borrowers receive annual questionnaires to certify they are still in residence. Additionally, for the City's larger scale development/projects, the City of Stockton requires its sponsors to demonstrate a "good faith effort" to recruit disadvantaged businesses through the disadvantaged business enterprise program (DBE) and under the federal Section 3 program, established to guarantee that employment and other economic opportunities created by Federal financial assistance for housing and community development programs should, if possible, be directed toward low- and very-low income persons.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

Consistent with the City's adopted Citizen Participation Plan, a 15-day public comment period was held for review of the draft Consolidated Annual Performance and Evaluation Report (CAPER). A notice was published in The Record on September 9, 2021, and the comment period ended September 27, 2021. A draft CAPER was prepared and made available at the Economic Development Department and on the City's website. No comments were received from the public during the comment period

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

In response to the homeless crisis the City of Stockton, San Joaquin County and the Continuum of Care (CoC) jointly established a cross-jurisdictional Strategic Plan to address homelessness in San Joaquin County. The Strategic Plan was developed through engagement with the community and stakeholders. The Plan identified proposed actionable strategies to reduce and prevent homelessness, increase the affordable housing stock, invest in a homeless navigation center, expand case management services, and employment and supportive services offered to the homeless population and those at risk of homelessness.

Analysis arising out of the 2020-2025 Consolidated Plan affirms the City's ongoing focus and commitment to its current program objectives. However, based on a review of 2020-21 accomplishments, the City intends to continue evaluation of the Down Payment Assistance Program, Emergency Repair and CDBG Housing Programs. During the next year the City will continue to apply for funding and/or support applications by other organizations to expand affordable housing opportunities, homeless assistance and supportive services, and to meet the other needs of the low-income residents and neighborhoods.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

In FY 2018 the City was awarded a \$600,000 grant from EPA to conduct Phase I/II environmental reviews in Downtown Stockton. The grant, managed by the City of Stockton Economic Development Department, is focused on providing funding for environmental assessment of brownfield sites in the City's downtown/waterfront area and in select portions of unincorporated San Joaquin County. The intent of the program is to provide environmental assessment and/or cleanup planning for underutilized sites with the goal of stimulating investment, redevelopment and housing. Grant funding can also be used for a wide range of site-specific and area wide planning. Stockton's brownfields work is a coalition effort led by the City in partnership with the San Joaquin Council of Governments (SJCOC) and the Redevelopment Successor Agency, focusing on the City's Downtown and Waterfront, as well as key transit locations in the county.

A consultant, Stantec, was hired to assist in establishing a priority list of sites and engaging with the community. In October 2019, the project kicked-off with a meeting that included City Staff,

Stantec, and EPA. The meeting included a discussion of priority sites in the waterfront area (already identified), as well as a tour of the sites. A SharePoint site was created to facilitate communication, as well as a place to access all relevant information and documents. This group, including a representative from SJCOG, continues to meet bi-weekly to ensure the project is moving along.

The development of a Community Involvement Plan (CIP) began in January 2020. This document is to remain flexible and can be updated, as needed, to better engage the community. The document incorporates a social distance approach (COVID-19) with key community involvement components: development of a Project Website, establishing a Brownfield Advisory Committee (BA), Stakeholder Group Interviews/meetings, as well as a Target Area Virtual Tour.

The project website went live in June 2020, <https://stocktonbrownfields.com/> and will continue to be updated, as the project advances, and assessments are conducted.

One of the sites is the South Pointe property, which was included in a Request for Interest (RFI) published at the end of FY 19-20. The Sampling Analysis Plan (SAP) for Phase II was approved by EPA for the South Pointe property. Site has been marked for sampling and the first round of samples are planned for September 2021. Immediate next steps involve test pits, soil sampling, and active soil vapor sampling. Stantec is developing a project schedule listing out all tasks associated with Phase 2 work.

In addition, the grant was extended for an additional year, through September 30, 2022. Phase I Environmental Site Assessments on four other sites are currently in process and should be finalized in Fall 2021. In total, 10 sites have been approved.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

HUD requires that all HOME assisted affordable rental housing receive regularly scheduled on-site property inspections for compliance. As of June 30, 2020, the City of Stockton had 28 complexes that have been rehabilitated or constructed with HOME funds that require inspections.

Due to the COVID-19 pandemic, on-site inspections scheduled for 2019-2020 had been postponed. City staff members intend to resume inspections during the 2020-2021 program year.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

In compliance with our Affirmative Marketing Policy, the City requires owners of HOME-assisted rental projects to advertise for tenants according to policies set forth in the City's Affirmative Marketing Plan. The City also continues to provide information and reference information regarding Affirmative Marketing, Federal Fair Housing, tenant's rights, assisted housing, and correction of substandard conditions in tenant-occupied dwellings.

The City's Affirmative Marketing Policy lays out specific requirements of each owner of each rental project completed, including solicitation of applications, special outreach and marketing requirements. Per the Policy, to determine results, the City examines whether or not "persons from a variety of racial and ethnic groups in the City of Stockton applied for or became tenants of units that were affirmatively marketed." Success is determined by each project's diversity of racial and ethnic groups served.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

HOME program income funds of \$486,348 were used for the Liberty Square Apartments project (formerly Hunter Street Apartments) for project expenses for affordable housing development. It is anticipated that this adaptive reuse project will include 72 low-income multi-family housing units.

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

The City's goal is to provide decent, safe, and affordable housing to its low-income Stockton residents. The City uses a variety of approaches to foster and maintain affordable housing, the Economic Development Department provides below market rate interest multi-family loans and grants to developers, works with other city departments such as the Community Development Department (CDD) to develop new affordable housing options and pro housing zoning guidelines. The City assists first time home buyers with down payment assistance loans or grants and to maintain the existing single-family housing stock, Stockton operates an inhouse Owner Occupied Homeowner Rehabilitation below market rate interest Loan Program and an Emergency Repair Program for low income homeowners. These below market financing programs assist low-income homeowners in rehabilitating their homes that have fallen into disrepair due to deferred maintenance. The City uses HOME and CDBG Revolving loan funds to operate these programs.

Another important approach taken by the City to provide decent, safe, and affordable housing to its low-income residents is to assist affordable housing developers that have organizational capacity and financial wherewithal to leverage other available funding opportunities. The ability of developers to leverage dollars brings a significant amount of additional funding into the Stockton community, which allows for the ability of more newly constructed or rehabilitated units to be completed than could be developed with the City's funding alone. The City has several examples of providing developers the predevelopment at risk funding that allowed them to later secure additional more substantial funding through the state or conventional bank sources. An example is the Grand View Village project that successfully leveraged over \$3 million of local city funding and to secure over \$17.6 million through the State's Affordable Housing and Sustainable Communities Program (AHSC) funding. The City is typically the first in to funds worthwhile housing projects that are later a huge benefit to the community.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	STOCKTON
Organizational DUNS Number	831603431
EIN/TIN Number	946000436
Identify the Field Office	SAN FRANCISCO
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Stockton/San Joaquin Continuum of Care

ESG Contact Name

Prefix	Ms.
First Name	Ty
Middle Name	
Last Name	Wilson-Robinson
Suffix	
Title	Deputy Housing Director

ESG Contact Address

Street Address 1	400 E Main Street, 4th Floor
Street Address 2	
City	Stockton
State	CA
ZIP Code	-
Phone Number	209-937-7585
Extension	
Fax Number	2099375099
Email Address	ty.wilson-robinson@stocktonca.gov

ESG Secondary Contact

Prefix	Ms.
First Name	Ty
Last Name	Wilson-Robinson
Suffix	
Title	Deputy Housing Director
Phone Number	209-937-7585
Extension	-
Email Address	ty.wilson-robinson@stocktonca.gov

2. Reporting Period—All Recipients Complete

Program Year Start Date 07/01/2020
Program Year End Date 06/30/2021

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: Stockton Shelter for the Homeless
City: Stockton
State: CA
Zip Code: 95203, 3332
DUNS Number: 188171904
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 54,415

Subrecipient or Contractor Name: Haven of Peace
City: French Camp
State: CA
Zip Code: 95231, 9629
DUNS Number: 167323500
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Faith-Based Organization
ESG Subgrant or Contract Award Amount: 21,582

Subrecipient or Contractor Name: Women's Center-Youth & Youth Services
City: Stockton
State: CA
Zip Code: 95202, 2030
DUNS Number: 165458852
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 28,189

Subrecipient or Contractor Name: Gospel Center Rescue Mission

City: Stockton

State: CA

Zip Code: 95203-3537

DUNS Number: 788079044

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 25,439

Subrecipient or Contractor Name: St. Mary's Dining Room

City: Stockton

State: CA

Zip Code: 95203-3329

DUNS Number: 617744610

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 45,923

Subrecipient or Contractor Name: Central Valley Low Income Housing Corporation

City: Stockton

State: CA

Zip Code:

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 107,034

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	12
Children	11
Don't Know/Refused/Other	0
Missing Information	0
Total	23

Table 16 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	5
Children	6
Don't Know/Refused/Other	0
Missing Information	0
Total	11

Table 17 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	3,326
Children	408
Don't Know/Refused/Other	7
Missing Information	0
Total	3,741

Table 18 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 19 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	3,343
Children	425
Don't Know/Refused/Other	7
Missing Information	0
Total	3,775

Table 20 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	2,397
Female	1,366
Transgender	8
Don't Know/Refused/Other	0
Missing Information	4
Total	3,775

Table 21 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	408
18-24	190
25 and over	3,170
Don't Know/Refused/Other	7
Missing Information	0
Total	3,775

Table 22 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households				
Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	172	0	0	172
Victims of Domestic Violence	65	0	0	65
Elderly	463	2	0	461
HIV/AIDS	9	0	0	9
Chronically Homeless	420	0	3	419
Persons with Disabilities:				
Severely Mentally Ill	364	0	0	364
Chronic Substance Abuse	365	0	0	365
Other Disability	155	3	3	1505
Total (unduplicated if possible)	874	3	2	869

Table 23 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units – Rehabbed	0
Number of New Units – Conversion	0
Total Number of bed - nights available	166,805
Total Number of bed - nights provided	141,845
Capacity Utilization	85%

Table 24 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

San Joaquin County Continuum of Care is the lead agency of a collaborative effort that has resulted in the receipt of grant funds under HUD’s Continuum of Care, which is a comprehensive approach to assist individuals and families move from homelessness to self-sufficiency. The HEARTH Act consolidated three separate McKinney-Vento homeless assistance programs, including the Supportive Housing Program, Shelter Plus Care Program, and Section 8 Moderate Rehabilitation SRO Program into a single grant program known as the Continuum of Care (CoC) Program. The CoC provides rental assistance in connection with matching supportive services. The CoC Program provides a variety of permanent housing choices, accompanied by a range of supportive services funded through other sources.

Performance standards for the ESG Rapid Re-housing and Homeless Prevention activities are based on standards used in evaluating homeless prevention and rapid rehousing performance and were developed in coordination with the San Joaquin Continuum of Care. These measures consider the reduced level of funding that is available through the ESG program than was available through the HPRP. Specific performance measures focus on housing stability and homelessness recidivism, which are linked together. Measurement is possible because all homeless service providers in the Continuum of Care participate in the HMIS.

*Total number of bed-nights available is calculated by multiplying 457 total beds available by 365 days per year.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Expenditures for Rental Assistance			
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance			
Expenditures for Housing Relocation & Stabilization Services - Services			
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	84,920	25,385	X26,105
Subtotal Homelessness Prevention	84,920	25,385	26,105

Table 25 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Expenditures for Rental Assistance	0	0	78,316
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	21,230	15,299	0
Subtotal Rapid Re-Housing	21,230	15,299	78,316

Table 26 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Essential Services	0	0	0
Operations	114,278	15,114	202,574
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	114,278	15,114	202,574

Table 27 – ESG Expenditures for Emergency Shelter**11d. Other Grant Expenditures**

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Street Outreach	0	0	0
HMIS	0	12,090	15,634
Administration	9,407	4,192	4,000

Table 28 - Other Grant Expenditures**11e. Total ESG Grant Funds**

Total ESG Funds Expended	2018	2019	2020
	229,835	72,080	326,629

Table 29 - Total ESG Funds Expended

11f. Match Source

	2018	2019	2020
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	548,862	971,394	0
State Government	1,420,403	450,000	0
Local Government	2,199,051	2,076,409	0
Private Funds	5,979,920	4,194,633	0
Other (includes County ESG)	1,959,527	135,167	326,629
Fees	260,333	1,069,000	0
Program Income	0	0	0
Total Match Amount	12,368,096	8,896,603	0

Table 30 - Other Funds Expended on Eligible ESG Activities**11g. Total**

Total Amount of Funds Expended on ESG Activities	2018	2019	2020
	12,597,931	8,958,093	653,258

Table 31 - Total Amount of Funds Expended on ESG Activities

APPENDIX A

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PART I: SUMMARY OF CDBG RESOURCES

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	3,747,396.36
02 ENTITLEMENT GRANT	3,427,828.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	1,972,760.26
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SL TYPE)	0.00
06 FUNDS RETURNED TO THE LINE-OF-CREDIT	0.00
06a FUNDS RETURNED TO THE LOCAL CDBG ACCOUNT	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	9,147,974.62

PART II: SUMMARY OF CDBG EXPENDITURES

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	1,650,146.29
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	57,118.58
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	1,707,264.87
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	708,076.95
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	1,851,337.65
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	128,926.43
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	4,395,605.90
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	4,752,368.72

PART III: LOW/MOD BENEFIT THIS REPORTING PERIOD

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	1,611,088.54
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	57,118.58
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	1,668,207.12
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	97.71%

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: 2020 PY: PY:
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	1,707,264.87
25 CUMULATIVE EXPENDITURES BENEFITTING LOW/MOD PERSONS	1,668,207.12
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	97.71%

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	502,941.96
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	231,161.47
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	227,704.32
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	506,399.11
32 ENTITLEMENT GRANT	3,427,828.00
33 PRIOR YEAR PROGRAM INCOME	963,942.53
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	4,391,770.53
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	11.53%

PART V: PLANNING AND ADMINISTRATION (PA) CAP

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	708,076.95
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	128,926.43
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 + LINE 40)	837,003.38
42 ENTITLEMENT GRANT	3,427,828.00
43 CURRENT YEAR PROGRAM INCOME	1,972,760.26
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	5,400,588.26
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	15.50%



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LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17


Report returned no data.

LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18

Report returned no data.

LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2019	13	1607	6525397	Gospel Center Rescue Mission	03C	LMC	\$73,932.00
2020	9	1651	6525397	GCRM Rehab	03C	LMC	\$1,091.65
					03C	Matrix Code 1	\$75,023.65
2018	22	1590	6422252	Oak Park Ice Arena PO 216103	03F	LMA	\$62,205.91
2018	22	1590	6433927	Oak Park Ice Arena PO 216103	03F	LMA	\$2,162.50
2018	22	1590	6444363	Oak Park Ice Arena PO 216103	03F	LMA	\$71,037.00
2018	22	1590	6451375	Oak Park Ice Arena PO 216103	03F	LMA	\$56,564.75
2018	22	1590	6478767	Oak Park Ice Arena PO 216103	03F	LMA	\$37,751.01
2018	22	1590	6506319	Oak Park Ice Arena PO 216103	03F	LMA	\$126,796.81
2018	22	1590	6525397	Oak Park Ice Arena PO 216103	03F	LMA	\$3,982.18
					03F	Matrix Code 1	\$360,400.16
2020	17	1679	6525397	Stockton Shelter for the Homeless	03T	LMC	\$29,359.56
2020	19	1695	6525397	DSA - Portable Restroom & Shower	03T	LMC	\$77,975.08
					03T	Matrix Code 1	\$107,334.64
2020	13	1646	6525397	MEALS ON WHEELS	05A	LMC	\$12,000.00
					05A	Matrix Code 1	\$12,000.00
2019	2	1585	6478767	Community Center for the Blind and Visually Impaired PO 215489	05B	LMC	\$661.30
2020	14	1678	6525397	Community Center for the Blind and Visually Impaired	05B	LMC	\$6,434.00
					05B	Matrix Code 1	\$7,095.30
2019	15	1604	6433927	Tuleburg Press - PO 215490	05D	LMC	\$13,456.65
2019	15	1604	6451375	Tuleburg Press - PO 215490	05D	LMC	\$7,485.97
2019	15	1604	6478767	Tuleburg Press - PO 215490	05D	LMC	\$2,057.38
2019	20	1581	6422252	Kelly's Angels Foundation Inc - PO 215297	05D	LMC	\$745.97
2019	20	1581	6451375	Kelly's Angels Foundation Inc - PO 215297	05D	LMC	\$983.54
2020	10	1641	6451375	Tuleburg Press - PO 218497	05D	LMC	\$2,760.60
2020	10	1641	6478767	Tuleburg Press - PO 218497	05D	LMC	\$3,894.21
2020	10	1641	6525397	Tuleburg Press - PO 218497	05D	LMC	\$8,754.04
2020	16	1642	6451375	Kelly's Angels Foundation - PO 218756	05D	LMC	\$7,476.02
2020	16	1642	6525397	Kelly's Angels Foundation - PO 218756	05D	LMC	\$8,375.56
					05D	Matrix Code 1	\$55,989.94
2017	4	1569	6422252	Stockton Shelter for the Homeless PO213496	05H	LMC	\$4,409.09
2017	4	1569	6451375	Stockton Shelter for the Homeless PO213496	05H	LMC	\$1,794.38
2017	4	1569	6478767	Stockton Shelter for the Homeless PO213496	05H	LMC	\$2,662.22
2017	4	1569	6525397	Stockton Shelter for the Homeless PO213496	05H	LMC	\$1,528.62
2019	1	1605	6422252	Stockton Shelter - PO 215930	05H	LMC	\$11,539.92
2019	1	1605	6451375	Stockton Shelter - PO 215930	05H	LMC	\$18,993.74
2019	1	1605	6478767	Stockton Shelter - PO 215930	05H	LMC	\$34,655.09
					05H	Matrix Code 1	\$75,588.06
2019	12	1582	6422252	San Joaquin Fair Housing - PO 215300	05J	LMC	\$34,596.29
2019	12	1582	6444363	San Joaquin Fair Housing - PO 215300	05J	LMC	\$18,907.82
2019	12	1582	6451375	San Joaquin Fair Housing - PO 215300	05J	LMC	\$15,952.27
2019	12	1582	6478767	San Joaquin Fair Housing - PO 215300	05J	LMC	\$5,496.59
2020	8	1643	6451375	San Joaquin Fair Housing - PO 218759	05J	LMC	\$21,304.03
2020	8	1643	6478767	San Joaquin Fair Housing - PO 218759	05J	LMC	\$18,060.42
2020	8	1643	6525397	San Joaquin Fair Housing - PO 218759	05J	LMC	\$43,890.77
					05J	Matrix Code 1	\$158,208.19
2020	18	1654	6525397	Child Abuse Prevention	05N	LMC	\$5,679.12
					05N	Matrix Code 1	\$5,679.12
2020	11	1645	6506319	EMERGENCY FOOD BANK - FOOD PROGRAM	05W	LMC	\$43,500.00
2020	12	1640	6451375	Second Harvest Food Bank - PO 218498	05W	LMC	\$13,761.83
2020	12	1640	6525397	Second Harvest Food Bank - PO 218498	05W	LMC	\$8,789.88
2020	15	1647	6506319	Bread of Life	05W	LMC	\$12,000.00
2020	15	1647	6525397	Bread of Life	05W	LMC	\$3,000.00
					05W	Matrix Code 1	\$81,051.71
2018	3	1598	6433927	HR1143 2440 S Monroe St - St Mary	14A	LMH	\$2,175.00
2019	9	1571	6525397	HR1146 1739 S Stockton St - Nieves, A	14A	LMH	\$11,620.09
2019	9	1608	6422252	HR1147 2003 Pyrenees Av - Easter	14A	LMH	\$102,006.50
2019	11	1572	6444363	ER1159 344 E Arcade St - Boyer, K	14A	LMH	\$12,060.00
2019	11	1572	6451375	ER1159 344 E Arcade St - Boyer, K	14A	LMH	\$15,814.50
2019	11	1572	6525397	ER1159 344 E Arcade St - Boyer, K	14A	LMH	\$1,525.50
					14A	Matrix Code	\$145,201.59
2020	20	1635	6525397	ED21801 Waterfront Warehouse LLC	14E	LMA	\$99,924.00
2020	20	1635	6527164	ED21801 Waterfront Warehouse LLC	14E	LMA	\$2,429.25
2020	20	1636	6527164	ED21802 Khan, Taj	14E	LMA	\$4,366.50
2020	20	1648	6527164	ED21803 Star Property LB Investment, LLC	14E	LMA	\$3,099.70
2020	22	1637	6451375	SBMG - With Garden Flair - PO 219236	14E	LMC	\$1,085.00
2020	22	1637	6527164	SBMG - With Garden Flair - PO 219236	14E	LMC	\$738.00
2020	22	1638	6451375	SBMG - Infusion Playhouse - PO 219343	14E	LMC	\$1,294.75
2020	22	1638	6475632	SBMG - Infusion Playhouse - PO 219343	14E	LMC	\$705.25

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Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2020	22	1638	6527164	SBMG - Infusion Playhouse - PO 219343	14E	LMC	\$2,060.25
2020	23	1634	6456075	ED21850 Rabanal, Jimboy	14E	LMA	\$5,300.00
2020	23	1634	6527164	ED21850 Rabanal, Jimboy	14E	LMA	\$2,521.50
2020	23	1657	6527164	ED21851 Phongphanduen, Arunee	14E	LMA	\$1,199.25
2021	21	1649	6527164	ED22801 CalMain, LLC	14E	LMA	\$1,414.50
					14E	Matrix Code	\$126,137.95
2019	8	1565	6420405	CDBG Housing Program Operations	14H	LMH	\$1,582.90
2020	2	1610	6422252	CDBG Housing Program Operations	14H	LMH	\$4,472.87
2020	2	1610	6433927	CDBG Housing Program Operations	14H	LMH	\$3,372.53
2020	2	1610	6444363	CDBG Housing Program Operations	14H	LMH	\$14,175.39
2020	2	1610	6451375	CDBG Housing Program Operations	14H	LMH	\$21,660.99
2020	2	1610	6475632	CDBG Housing Program Operations	14H	LMH	\$13,530.49
2020	2	1610	6478767	CDBG Housing Program Operations	14H	LMH	\$24,511.16
2020	2	1610	6525397	CDBG Housing Program Operations	14H	LMH	\$17,553.16
2020	2	1610	6527164	CDBG Housing Program Operations	14H	LMH	\$20,520.37
					14H	Matrix Code	\$121,379.86
2021	1	1639	6451375	E21901- Lal	18A	LMJ	\$18,000.00
2021	1	1639	6527164	E21901- Lal	18A	LMJ	\$9,114.40
					18A	Matrix Code	\$18,911.40
2020	27	1652	6478767	Stockton Community Kitchen	18B	LMA	\$60,000.00
2020	27	1652	6527164	Stockton Community Kitchen	18B	LMA	\$2,013.90
					18B	Matrix Code	\$62,013.90
2018	20	1600	6475632	Launch Pad Foundation PO 216468	18C	LMA	\$9,000.00
2018	20	1600	6527164	Launch Pad Foundation PO 216468	18C	LMA	\$9,701.20
2019	22	1618	6444363	Oracles of Truth PO 218059	18C	LMA	\$6,594.30
2019	22	1618	6451375	Oracles of Truth PO 218059	18C	LMA	\$8,438.09
2019	22	1618	6478767	Oracles of Truth PO 218059	18C	LMA	\$5,337.78
2019	22	1618	6525397	Oracles of Truth PO 218059	18C	LMA	\$4,639.83
2019	22	1618	6527164	Oracles of Truth PO 218059	18C	LMA	\$1,793.40
2019	22	1619	6451375	Stockton Impact Corps PO 218063	18C	LMA	\$24,800.00
2019	22	1619	6525397	Stockton Impact Corps PO 218063	18C	LMA	\$5,200.00
2019	22	1619	6527164	Stockton Impact Corps PO 218063	18C	LMA	\$1,293.60
2019	22	1620	6475632	Launch Pad Foundation PO 218411	18C	LMA	\$15,000.00
2019	24	1595	6422252	MLP026 1740 W Hammer Ln - Tanon	18C	LMJ	\$6,034.33
2019	24	1595	6527164	MLP026 1740 W Hammer Ln - Tanon	18C	LMJ	\$7,350.00
2020	21	1680	6527164	A-List Nail Bar and Salon - Phongphanduen	18C	LMJ	\$3,018.74
2020	24	1616	6427852	Young Rembrands - PO 218054	18C	LMA	\$5,000.00
2020	24	1616	6527164	Young Rembrands - PO 218054	18C	LMA	\$191.10
2020	24	1617	6427852	Grassroots Childcare Cooperative PO 218727	18C	LMA	\$2,500.00
2020	24	1617	6451375	Grassroots Childcare Cooperative PO 218727	18C	LMA	\$2,500.00
2020	24	1617	6527164	Grassroots Childcare Cooperative PO 218727	18C	LMA	\$441.00
2020	24	1621	6508319	Mexican Heritage PO 218056	18C	LMA	\$40,000.00
2020	24	1621	6527164	Mexican Heritage PO 218056	18C	LMA	\$9,114.40
2020	24	1623	6427852	Kathryn Blasingame PO 217938	18C	LMA	\$2,500.00
2020	24	1623	6444363	Kathryn Blasingame PO 217938	18C	LMA	\$2,500.00
2020	24	1623	6527164	Kathryn Blasingame PO 217938	18C	LMA	\$294.00
2020	24	1624	6427852	Natural Do (Smith, V) PO 217939	18C	LMA	\$2,500.00
2020	24	1624	6451375	Natural Do (Smith, V) PO 217939	18C	LMA	\$2,500.00
2020	24	1624	6527164	Natural Do (Smith, V) PO 217939	18C	LMA	\$294.00
2020	24	1625	6427852	Bobbie Jeans Southern Flair PO 217940	18C	LMA	\$2,500.00
2020	24	1625	6451375	Bobbie Jeans Southern Flair PO 217940	18C	LMA	\$2,500.00
2020	24	1625	6527164	Bobbie Jeans Southern Flair PO 217940	18C	LMA	\$1,176.00
2020	24	1626	6427852	CPR Cert Pros LLC PO 217941	18C	LMA	\$2,500.00
2020	24	1626	6433927	CPR Cert Pros LLC PO 217941	18C	LMA	\$2,500.00
2020	24	1626	6527164	CPR Cert Pros LLC PO 217941	18C	LMA	\$2,205.50
2020	24	1627	6427852	Rainbow Velvet Cafe PO 218053	18C	LMA	\$2,500.00
2020	24	1627	6451375	Rainbow Velvet Cafe PO 218053	18C	LMA	\$2,500.00
2020	24	1627	6527164	Rainbow Velvet Cafe PO 218053	18C	LMA	\$151.70
2020	24	1628	6427852	SB Films PO 218064	18C	LMA	\$5,000.00
2020	24	1628	6527164	SB Films PO 218064	18C	LMA	\$151.70
2020	24	1629	6478767	A Tavola Together Foundation PO 218061	18C	LMA	\$10,000.00
2020	24	1629	6527164	A Tavola Together Foundation PO 218061	18C	LMA	\$1,455.30
2020	24	1630	6433927	Owusu-Spencer Consulting PO 218058	18C	LMA	\$3,112.35
2020	24	1630	6525397	Owusu-Spencer Consulting PO 218058	18C	LMA	\$6,887.65
2020	24	1630	6527164	Owusu-Spencer Consulting PO 218058	18C	LMA	\$1,984.50
					18C	Matrix Code	\$199,076.07
Total							\$1,611,088.54



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LINE 27 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 27

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity to prevent, prepare for, and respond to Coronavirus	Activity Name	Grant Number	Fund Type	Matrix Code	National Objective	Drawn Amount
2020	17	1679	6525397	No	Stockton Shelter for the Homeless	B20MC060026	PI	03F	LMC	\$29,359.56
2020	19	1655	6525397	Yes	DSA - Portable Restroom & Shower	B20MC060026	PI	03T	LMC	\$77,975.08
								03T	Matrix Code 1	\$107,334.64
2020	13	1646	6525397	No	MEALS ON WHEELS	B20MC060026	PI	05A	LMC	\$12,000.00
								05A	Matrix Code 1	\$12,000.00
2019	2	1585	6478767	No	Community Center for the Blind and Visually Impaired PO 215489	B19MC060026	EN	05B	LMC	\$661.30
2020	14	1678	6525397	No	Community Center for the Blind and Visually Impaired	B20MC060026	PI	05B	LMC	\$6,434.00
								05B	Matrix Code 1	\$7,095.30
2019	15	1604	6433927	No	Tuleburg Press - PO 215490	B20MC060026	PI	05D	LMC	\$13,456.65
2019	15	1604	6451375	No	Tuleburg Press - PO 215490	B17MC060026	EN	05D	LMC	\$7,465.97
2019	15	1604	6478767	No	Tuleburg Press - PO 215490	B19MC060026	EN	05D	LMC	\$2,057.38
2019	20	1581	6422252	No	Kelly's Angels Foundation Inc - PO 215297	B17MC060026	EN	05D	LMC	\$745.97
2019	20	1581	6451375	No	Kelly's Angels Foundation Inc - PO 215297	B17MC060026	EN	05D	LMC	\$983.54
2020	10	1641	6451375	No	Tuleburg Press - PO 218497	B17MC060026	EN	05D	LMC	\$2,760.60
2020	10	1641	6478767	No	Tuleburg Press - PO 218497	B19MC060026	EN	05D	LMC	\$3,894.21
2020	10	1641	6525397	No	Tuleburg Press - PO 218497	B20MC060026	PI	05D	LMC	\$8,754.04
2020	16	1642	6451375	No	Kelly's Angels Foundation - PO 218756	B17MC060026	EN	05D	LMC	\$7,476.02
2020	16	1642	6525397	No	Kelly's Angels Foundation - PO 218756	B20MC060026	PI	05D	LMC	\$8,375.56
								05D	Matrix Code 1	\$55,909.94
2017	4	1569	6422252	No	Stockton Shelter for the Homeless PO213496	B17MC060026	EN	05H	LMC	\$4,409.09
2017	4	1569	6451375	No	Stockton Shelter for the Homeless PO213496	B17MC060026	EN	05H	LMC	\$1,794.38
2017	4	1569	6478767	No	Stockton Shelter for the Homeless PO213496	B19MC060026	EN	05H	LMC	\$2,662.22
2017	4	1569	6525397	No	Stockton Shelter for the Homeless PO213496	B20MC060026	PI	05H	LMC	\$1,528.62
2019	1	1605	6422252	No	Stockton Shelter - PO 215930	B20MC060026	EN	05H	LMC	\$11,539.92
2019	1	1605	6451375	No	Stockton Shelter - PO 215930	B17MC060026	EN	05H	LMC	\$18,993.74
2019	1	1605	6478767	No	Stockton Shelter - PO 215930	B19MC060026	EN	05H	LMC	\$34,655.09
								05H	Matrix Code 1	\$75,588.05
2019	12	1582	6422252	No	San Joaquin Fair Housing - PO 215300	B18MC060026	EN	05J	LMC	\$34,596.29
2019	12	1582	6444363	No	San Joaquin Fair Housing - PO 215300	B18MC060026	EN	05J	LMC	\$18,907.82
2019	12	1582	6451375	No	San Joaquin Fair Housing - PO 215300	B17MC060026	EN	05J	LMC	\$15,952.27
2019	12	1582	6478767	No	San Joaquin Fair Housing - PO 215300	B19MC060026	EN	05J	LMC	\$5,496.59
2020	8	1643	6451375	No	San Joaquin Fair Housing - PO 218759	B17MC060026	EN	05J	LMC	\$13,038.44
2020	8	1643	6451375	No	San Joaquin Fair Housing - PO 218759	B18MC060026	EN	05J	LMC	\$8,265.59
2020	8	1643	6478767	No	San Joaquin Fair Housing - PO 218759	B19MC060026	EN	05J	LMC	\$18,060.42
2020	8	1643	6525397	No	San Joaquin Fair Housing - PO 218759	B20MC060026	PI	05J	LMC	\$43,890.77
								05J	Matrix Code 1	\$158,206.19
2020	18	1654	6525397	No	Child Abuse Prevention	B20MC060026	PI	05N	LMC	\$5,679.12
								05N	Matrix Code 1	\$5,679.12
2020	11	1645	6508319	No	EMERGENCY FOOD BANK - FOOD PROGRAM	B18MC060026	EN	05W	LMC	\$3,000.00
2020	11	1645	6508319	No	EMERGENCY FOOD BANK - FOOD PROGRAM	B19MC060026	EN	05W	LMC	\$40,500.00
2020	12	1640	6451375	No	Second Harvest Food Bank - PO 218498	B17MC060026	EN	05W	LMC	\$13,761.83
2020	12	1640	6525397	No	Second Harvest Food Bank - PO 218498	B20MC060026	PI	05W	LMC	\$8,789.88
2020	15	1647	6508319	No	Bread of Life	B18MC060026	EN	05W	LMC	\$12,000.00
2020	15	1647	6525397	No	Bread of Life	B20MC060026	PI	05W	LMC	\$3,000.00
								05W	Matrix Code 1	\$81,851.71
				No	Activity to prevent, prepare for, and respond to Coronavirus					\$424,966.88
				Yes	Activity to prevent, prepare for, and respond to Coronavirus					\$77,975.08
Total										\$502,941.96

LINE 37 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 37

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2020	1	1609	6422252	CDBG Administration	21A		\$223,006.51
2020	1	1609	6433927	CDBG Administration	21A		\$61,026.02
2020	1	1609	6444363	CDBG Administration	21A		\$57,549.73
2020	1	1609	6451375	CDBG Administration	21A		\$119,719.27
2020	1	1609	6475632	CDBG Administration	21A		\$60,080.40
2020	1	1609	6478767	CDBG Administration	21A		\$80,317.60
2020	1	1609	6525397	CDBG Administration	21A		\$106,379.42
Total					21A	Matrix Code	\$708,076.95

APPENDIX B

SAGE Reports (ESG Data)



HUD ESG CAPER FY2020

Grant: **ESG: Stockton - CA - Report** Type: **CAPER**

Report Date Range

7/1/2019 to 6/30/2020

Q01a. Contact Information

First name	Margarita
Middle name	
Last name	Reyes
Suffix	
Title	Administrative Analyst
Street Address 1	400 E. Main Street
Street Address 2	
City	Stockton
State	California
ZIP Code	95202
E-mail Address	margarita.reyes@stocktonca.gov
Phone Number	(209)937-7421
Extension	
Fax Number	

Q01b. Grant Information

As of 9/11/2020

Fiscal Year	Grant Number	Current Authorized Amount	Total Drawn	Balance	Obligation Date	Expenditure Deadline
2020						
2019	E19MC060026	\$292,889.00	\$154,625.33	\$138,263.67	10/23/2019	10/23/2021
2018	E18MC060026	\$290,376.00	\$290,376.00	\$0	8/9/2018	8/9/2020
2017	E17MC060026	\$890,549.00	\$890,549.00	\$0	10/19/2017	10/19/2019
2016	E16MC060026	\$291,273.00	\$291,273.00	\$0	9/29/2016	9/29/2018
2015	E15MC060026	\$295,268.00	\$295,268.00	\$0	10/20/2015	10/20/2017
2014	E14MC060026	\$266,023.00	\$266,023.00	\$0	7/29/2014	7/29/2016
2013	E13MC060026	\$226,383.00	\$226,383.00	\$0	8/21/2013	8/21/2015
2012						
2011						
Total		\$2,552,761.00	\$2,414,497.33	\$138,263.67		

ESG Information from IDIS

CAPER reporting includes funds used from fiscal year:

2019

Project types carried out during the program year

Enter the number of each type of projects funded through ESG during this program year.

Street Outreach	0
Emergency Shelter	5
Transitional Housing (grandfathered under ES)	0
Day Shelter (funded under ES)	0
Rapid Re-Housing	1
Homelessness Prevention	1

Q01c. Additional Information

HMIS

Comparable Database

Are 100% of the project(s) funded through ESG, which are allowed to use HMIS, entering data into HMIS?	Yes
Have all of the projects entered data into Sage via a CSV - CAPER Report upload?	Yes
Are 100% of the project(s) funded through ESG, which are allowed to use a comparable database, entering data into the comparable database?	Yes
Have all of the projects entered data into Sage via a CSV - CAPER Report upload?	Yes

Q04a: Project Identifiers in HMIS

Organization Name	Organization ID	Project Name	Project ID	HMIS Project Type	Method for Tracking ES	Affiliated with a residential project	Project IDs of affiliations	CoC Number	Geocode	Victim Service Provider	HMIS Software Name	Report Start Date	Report End Date	CSV Exception?	Uploaded via emailed hyperlink?
Haven of Peace	9	Haven of Peace	3	1	0			CA-511	069077	0	Clarity HS	2019-07-01	2020-06-30	No	Yes
WC-YFS	16	Opportunity - ES	137	1	0			CA-511	063624	0	Clarity HS	2019-07-01	2020-06-30	No	Yes
WC-YFS	16	RHY Safe House (BCP-es)	73	1	0			CA-511	063624	0	Clarity HS	2019-07-01	2020-06-30	No	Yes
Women's Center-Youth and Family Services	2	DAWN House	1	1	0			CA-511	063624	1	Clarity HS	2019-07-01	2020-06-30	No	Yes
Gospel Center Rescue Mission	11	Mens Lodge	10	1	3			CA-511	063624	0	Clarity HS	2019-07-01	2020-06-30	No	Yes
Gospel Center Rescue Mission	11	NHFS emergency lodging	11	1	0			CA-511	063624	0	Clarity HS	2019-07-01	2020-06-30	No	Yes
Stockton Shelter Families & Women	10	Family Shelter	18	1	0			CA-511	063624	0	Clarity HS	2019-07-01	2020-06-30	No	Yes
Stockton Shelter Mens Programs	20	Mens Shelter	51	1	3			CA-511	063624	0	Clarity HS	2019-07-01	2020-06-30	No	Yes
Central Valley Housing	3	ESG-CoS Prevention	33	12	0			CA-511	063624	0	Clarity HS	2019-07-01	2020-06-30	No	Yes
Central Valley Housing	3	ESG-CoS Rehousing	34	13	0			CA-511	063624	0	Clarity HS	2019-07-01	2020-06-30	No	Yes
St. Mary's Dining Room	21	Community Services	57	1	0	0		CA-511	063624	0	Clarity HS	2019-07-01	2020-06-30	No	Yes
St. Mary's Dining Room	21	Dining Services	151	1	0	0		CA-511	063624	0	Clarity HS	2019-07-01	2020-06-30	No	Yes
St. Mary's Dining Room	21	Men's Department	156	1	0	0		CA-511	063624	0	Clarity HS	2019-07-01	2020-06-30	No	Yes
St. Mary's Dining Room	21	Women-Children Department	155	1	0	0		CA-511	063624	0	Clarity HS	2019-07-01	2020-06-30	No	Yes

Q05a: Report Validations Table

Total Number of Persons Served	5413
Number of Adults (Age 18 or Over)	4675
Number of Children (Under Age 18)	731
Number of Persons with Unknown Age	7
Number of Leavers	3636
Number of Adult Leavers	2983
Number of Adult and Head of Household Leavers	3044
Number of Stayers	1777
Number of Adult Stayers	1692
Number of Veterans	251
Number of Chronically Homeless Persons	736
Number of Youth Under Age 25	353
Number of Parenting Youth Under Age 25 with Children	53
Number of Adult Heads of Household	4590
Number of Child and Unknown-Age Heads of Household	101
Heads of Households and Adult Stayers in the Project 365 Days or More	79

Q06a: Data Quality: Personally Identifying Information (PII)

Data Element	Client Doesn't Know/Refused	Information Missing	Data Issues	Total	% of Error Rate
Name	1	59	8	68	1.26 %
Social Security Number	471	490	398	1359	25.11 %
Date of Birth	1	7	10	18	0.33 %
Race	38	128	0	166	3.07 %
Ethnicity	14	94	0	108	2.00 %
Gender	0	7	0	7	0.13 %
Overall Score				1452	26.82 %

Q06b: Data Quality: Universal Data Elements

	Error Count	% of Error Rate
Veteran Status	194	4.15 %
Project Start Date	8	0.15 %
Relationship to Head of Household	31	0.57 %
Client Location	0	0.00 %
Disabling Condition	1648	30.45 %

Q06c: Data Quality: Income and Housing Data Quality

	Error Count	% of Error Rate
Destination	2698	74.20 %
Income and Sources at Start	1607	34.26 %
Income and Sources at Annual Assessment	79	100.00 %
Income and Sources at Exit	2003	65.80 %

Q06d: Data Quality: Chronic Homelessness

	Count of Total Records	Missing Time in Institution	Missing Time in Housing	Approximate Date Started DK/R/missing	Number of Times DK/R/missing	Number of Months DK/R/missing	% of Records Unable to Calculate
ES, SH, Street Outreach	4617	0	0	502	1769	1800	39.68 %
TH	0	0	0	0	0	0	--
PH (All)	35	0	0	0	1	1	2.86 %
Total	4652	0	0	0	0	0	39.40 %

Q06e: Data Quality: Timeliness

	Number of Project Start Records	Number of Project Exit Records
0 days	2109	648
1-3 Days	1232	622
4-6 Days	213	135
7-10 Days	101	55
11+ Days	1191	2176

Q06f: Data Quality: Inactive Records: Street Outreach & Emergency Shelter

	# of Records	# of Inactive Records	% of Inactive Records
Contact (Adults and Heads of Household in Street Outreach or ES - NBN)	141	5	3.55 %
Bed Night (All Clients in ES - NBN)	141	5	3.55 %

Q07a: Number of Persons Served

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Adults	4675	4303	372	0	0
Children	731	0	629	102	0
Client Doesn't Know/ Client Refused	0	0	0	0	0
Data Not Collected	7	0	2	0	5
Total	5413	4303	1003	102	5
For PSH & RRH – the total persons served who moved into housing	48	20	28	0	0

Q08a: Households Served

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Total Households	4691	4272	319	95	5
For PSH & RRH – the total households served who moved into housing	28	17	11	0	0

Q08b: Point-in-Time Count of Households on the Last Wednesday

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
January	479	442	36	1	0
April	1488	1423	34	27	4
July	519	473	45	1	0
October	543	488	52	3	0

Q09a: Number of Persons Contacted

	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine
Once	962	0	0	962
2-5 Times	0	0	0	0
6-9 Times	0	0	0	0
10+ Times	0	0	0	0
Total Persons Contacted	962	0	0	962

Q09b: Number of Persons Engaged

	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine
Once	827	0	0	827
2-5 Contacts	0	0	0	0
6-9 Contacts	0	0	0	0
10+ Contacts	0	0	0	0
Total Persons Engaged	827	0	0	827
Rate of Engagement	0.86	0.00	0.00	0.86

Q10a: Gender of Adults

	Total	Without Children	With Children and Adults	Unknown Household Type
Male	3150	3086	64	0
Female	1513	1205	308	0
Trans Female (MTF or Male to Female)	2	2	0	0
Trans Male (FTM or Female to Male)	3	3	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	3	3	0	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	4	4	0	0
Subtotal	4675	4303	372	0

Q10b: Gender of Children

	Total	With Children and Adults	With Only Children	Unknown Household Type
Male	359	311	48	0
Female	368	318	50	0
Trans Female (MTF or Male to Female)	0	0	0	0
Trans Male (FTM or Female to Male)	1	0	1	0
Gender Non-Conforming (i.e. not exclusively male or female)	1	0	1	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	2	0	2	0
Subtotal	731	629	102	0

Q10c: Gender of Persons Missing Age Information

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Male	4	0	1	0	3
Female	2	0	1	0	1
Trans Female (MTF or Male to Female)	0	0	0	0	0
Trans Male (FTM or Female to Male)	0	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	0	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	1	0	0	0	1
Subtotal	7	0	2	0	5

Q10d: Gender by Age Ranges

	Total	Under Age 18	Age 18-24	Age 25-61	Age 62 and over	Client Doesn't Know/ Client Refused	Data Not Collected
Male	3513	359	147	2459	544	0	4
Female	1883	368	155	1238	120	0	2
Trans Female (MTF or Male to Female)	2	0	0	2	0	0	0
Trans Male (FTM or Female to Male)	4	1	0	3	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	4	1	0	0	3	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0	0	0
Data Not Collected	7	2	0	4	0	0	1
Subtotal	5413	731	302	3708	667	0	7

Q11: Age

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Under 5	274	0	257	17	0
5 - 12	313	0	290	23	0
13 - 17	144	0	82	62	0
18 - 24	302	238	64	0	0
25 - 34	989	824	165	0	0
35 - 44	997	891	106	0	0
45 - 54	1026	994	32	0	0
55 - 61	694	690	4	0	0
62+	667	666	1	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	7	0	2	0	5
Total	5413	4303	1003	102	5

Q12a: Race

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
White	3028	2529	458	40	1
Black or African American	1666	1267	365	33	1
Asian	128	108	19	1	0
American Indian or Alaska Native	85	72	11	2	0
Native Hawaiian or Other Pacific Islander	84	54	25	5	0
Multiple Races	256	128	118	10	0
Client Doesn't Know/Client Refused	38	30	0	8	0
Data Not Collected	128	115	7	3	3
Total	5413	4303	1003	102	5

Q12b: Ethnicity

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Non-Hispanic/Non-Latino	3267	2631	582	53	1
Hispanic/Latino	2038	1574	419	44	1
Client Doesn't Know/Client Refused	14	13	0	1	0
Data Not Collected	94	85	2	4	3
Total	5413	4303	1003	102	5

Q13a1: Physical and Mental Health Conditions at Start

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults	With Only Children	Unknown Household Type
Mental Health Problem	883	808	49	27	--	1	0
Alcohol Abuse	216	209	7	0	--	0	0
Drug Abuse	517	483	33	0	--	1	0
Both Alcohol and Drug Abuse	175	158	13	0	--	4	0
Chronic Health Condition	736	651	53	32	--	0	0
HIV/AIDS	20	17	3	0	--	0	0
Developmental Disability	170	144	4	22	--	0	0
Physical Disability	764	720	34	10	--	0	0

⚠ The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q13b1: Physical and Mental Health Conditions at Exit

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults	With Only Children	Unknown Household Type
Mental Health Problem	323	249	47	27	--	0	0
Alcohol Abuse	40	33	7	0	--	0	0
Drug Abuse	145	112	33	0	--	0	0
Both Alcohol and Drug Abuse	79	63	13	0	--	3	0
Chronic Health Condition	299	218	51	30	--	0	0
HIV/AIDS	10	7	3	0	--	0	0
Developmental Disability	82	56	4	22	--	0	0
Physical Disability	208	166	32	10	--	0	0

⚠ The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q13c1: Physical and Mental Health Conditions for Stayers

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults	With Only Children	Unknown Household Type
Mental Health Problem	63	61	2	0	--	0	0
Alcohol Abuse	18	18	0	0	--	0	0
Drug Abuse	23	22	1	0	--	0	0
Both Alcohol and Drug Abuse	17	17	0	0	--	0	0
Chronic Health Condition	48	44	2	2	--	0	0
HIV/AIDS	3	3	0	0	--	0	0
Developmental Disability	11	11	0	0	--	0	0
Physical Disability	56	54	2	0	--	0	0

⚠ The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q14a: Domestic Violence History

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	377	270	107	0	0
No	2544	2286	255	3	0
Client Doesn't Know/Client Refused	7	6	1	0	0
Data Not Collected	1848	1741	10	92	5
Total	4776	4303	373	95	5

Q14b: Persons Fleeing Domestic Violence

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	208	131	77	0	0
No	160	131	29	0	0
Client Doesn't Know/Client Refused	2	1	1	0	0
Data Not Collected	7	7	0	0	0
Total	377	270	107	0	0

Q15: Living Situation

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Homeless Situations	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	980	928	43	8	1
Transitional housing for homeless persons (including homeless youth)	25	25	0	0	0
Place not meant for habitation	1897	1809	66	20	2
Safe Haven	13	12	1	0	0
Host Home (non-crisis)	1	1	0	0	0
Interim Housing ⁶	0	0	0	0	0
Subtotal	2916	2775	110	28	3
Institutional Settings	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	23	21	0	2	0
Substance abuse treatment facility or detox center	80	79	1	0	0
Hospital or other residential non-psychiatric medical facility	107	105	2	0	0
Jail, prison or juvenile detention facility	71	71	0	0	0
Foster care home or foster care group home	5	2	0	3	0
Long-term care facility or nursing home	5	5	0	0	0
Residential project or halfway house with no homeless criteria	7	7	0	0	0
Subtotal	298	290	3	5	0
Other Locations	0	0	0	0	0
Permanent housing (other than RRH) for formerly homeless persons	8	8	0	0	0
Owned by client, no ongoing housing subsidy	29	29	0	0	0
Owned by client, with ongoing housing subsidy	10	10	0	0	0
Rental by client, with RRH or equivalent subsidy	1	1	0	0	0
Rental by client, with HCV voucher (tenant or project based)	0	0	0	0	0
Rental by client in a public housing unit	5	5	0	0	0
Rental by client, no ongoing housing subsidy	421	354	60	6	1
Rental by client, with VASH subsidy	8	8	0	0	0
Rental by client with GPD TIP subsidy	0	0	0	0	0
Rental by client, with other housing subsidy	19	19	0	0	0
Hotel or motel paid for without emergency shelter voucher	134	85	47	2	0
Staying or living in a friend's room, apartment or house	273	222	43	8	0
Staying or living in a family member's room, apartment or house	396	301	55	40	0
Client Doesn't Know/Client Refused	26	24	1	1	0
Data Not Collected	232	172	54	5	1
Subtotal	1562	1238	260	62	2
Total	4776	4303	373	95	5

⁶ Interim housing is retired as of 10/1/2019.

Q16: Cash Income - Ranges

	Income at Start	Income at Latest Annual Assessment for Stayers	Income at Exit for Leavers
No income	1849	0	404
\$1 - \$150	41	0	13
\$151 - \$250	10	0	3
\$251 - \$500	99	0	48
\$501 - \$1000	747	0	323
\$1,001 - \$1,500	206	0	90
\$1,501 - \$2,000	115	0	67
\$2,001+	62	0	42
Client Doesn't Know/Client Refused	9	0	3
Data Not Collected	1537	0	1990
Number of Adult Stayers Not Yet Required to Have an Annual Assessment	0	1611	0
Number of Adult Stayers Without Required Annual Assessment	0	79	0
Total Adults	4675	1690	2983

Q17: Cash Income - Sources

	Income at Start	Income at Latest Annual Assessment for Stayers	Income at Exit for Leavers
Earned Income	263	0	145
Unemployment Insurance	23	0	14
SSI	458	0	179
SSDI	264	0	49
VA Service-Connected Disability Compensation	12	0	2
VA Non-Service Connected Disability Pension	8	0	1
Private Disability Insurance	2	0	1
Worker's Compensation	4	0	2
TANF or Equivalent	235	0	203
General Assistance	24	0	13
Retirement (Social Security)	38	0	9
Pension from Former Job	12	0	3
Child Support	19	0	18
Alimony (Spousal Support)	1	0	1
Other Source	57	0	39
Adults with Income Information at Start and Annual Assessment/Exit	0	0	989

Q19b: Disabling Conditions and Income for Adults at Exit

	AO: Adult with Disabling Condition	AO: Adult without Disabling Condition	AO: Total Adults	AO: % with Disabling Condition by Source	AC: Adult with Disabling Condition	AC: Adult without Disabling Condition	AC: Total Adults	AC: % with Disabling Condition by Source	UK: Adult with Disabling Condition	UK: Adult without Disabling Condition	UK: Total Adults	UK: % with Disabling Condition by Source
Earned Income	9	59	68	13.24 %	4	69	73	5.48 %	0	0	0	--
Supplemental Security Income (SSI)	80	57	137	58.40 %	14	25	39	35.90 %	0	0	0	--
Social Security Disability Insurance (SSDI)	28	13	41	68.29 %	5	1	6	83.33 %	0	0	0	--
VA Service-Connected Disability Compensation	1	1	2	50.00 %	0	0	0	--	0	0	0	--
Private Disability Insurance	0	1	1	0.00 %	0	0	0	--	0	0	0	--
Worker's Compensation	2	0	2	100.00 %	0	0	0	--	0	0	0	--
Temporary Assistance for Needy Families (TANF)	14	37	51	27.45 %	19	126	145	13.10 %	0	0	0	--
Retirement Income from Social Security	5	4	9	55.56 %	0	0	0	--	0	0	0	--
Pension or retirement income from a former job	3	0	3	100.00 %	0	0	0	--	0	0	0	--
Child Support	2	5	7	28.57 %	1	8	9	11.11 %	0	0	0	--
Other source	9	21	30	30.00 %	1	36	37	2.70 %	0	0	0	--
No Sources	72	246	318	22.64 %	6	64	70	8.57 %	0	0	0	--
Unduplicated Total Adults	205	432	637		38	290	328		0	0	0	

Q20a: Type of Non-Cash Benefit Sources

	Benefit at Start	Benefit at Latest Annual Assessment for Stayers	Benefit at Exit for Leavers
Supplemental Nutritional Assistance Program	1294	0	602
WIC	57	0	51
TANF Child Care Services	2	0	1
TANF Transportation Services	0	0	0
Other TANF-Funded Services	0	0	0
Other Source	0	0	0

Q21: Health Insurance

	At Start	At Annual Assessment for Stayers	At Exit for Leavers
Medicaid	2610	0	1302
Medicare	205	0	78
State Children's Health Insurance Program	0	0	0
VA Medical Services	47	0	3
Employer Provided Health Insurance	15	0	15
Health Insurance Through COBRA	0	0	0
Private Pay Health Insurance	9	0	8
State Health Insurance for Adults	2	0	2
Indian Health Services Program	0	0	0
Other	43	0	32
No Health Insurance	974	0	209
Client Doesn't Know/Client Refused	32	0	17
Data Not Collected	1621	80	2015
Number of Stayers Not Yet Required to Have an Annual Assessment	0	1693	0
1 Source of Health Insurance	2645	0	1350
More than 1 Source of Health Insurance	141	0	45

Q22a2: Length of Participation – ESG Projects

	Total	Leavers	Stayers
0 to 7 days	1886	1564	322
8 to 14 days	367	346	21
15 to 21 days	256	233	23
22 to 30 days	264	242	22
31 to 60 days	557	499	58
61 to 90 days	725	240	485
91 to 180 days	1051	322	729
181 to 365 days	141	105	36
366 to 730 days (1-2 Yrs)	76	49	27
731 to 1,095 days (2-3 Yrs)	41	20	21
1,096 to 1,460 days (3-4 Yrs)	16	5	11
1,461 to 1,825 days (4-5 Yrs)	11	6	5
More than 1,825 days (> 5 Yrs)	22	5	17
Data Not Collected	0	0	0
Total	5413	3636	1777

Q22c: Length of Time between Project Start Date and Housing Move-in Date

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	3	2	1	0	0
8 to 14 days	6	1	5	0	0
15 to 21 days	6	3	3	0	0
22 to 30 days	8	4	4	0	0
31 to 60 days	7	3	4	0	0
61 to 180 days	13	5	8	0	0
181 to 365 days	3	0	3	0	0
366 to 730 days (1-2 Yrs)	0	0	0	0	0
Total (persons moved into housing)	46	18	28	0	0
Average length of time to housing	54.00	45.00	59.00	--	--
Persons who were exited without move-in	0	0	0	0	0
Total persons	46	18	28	0	0

Q22d: Length of Participation by Household Type

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	1886	1628	211	46	1
8 to 14 days	367	273	84	10	0
15 to 21 days	256	177	67	12	0
22 to 30 days	264	184	78	2	0
31 to 60 days	557	312	242	3	0
61 to 90 days	725	584	135	5	1
91 to 180 days	1051	874	150	24	3
181 to 365 days	141	117	24	0	0
366 to 730 days (1-2 Yrs)	76	66	10	0	0
731 to 1,095 days (2-3 Yrs)	41	39	2	0	0
1,096 to 1,460 days (3-4 Yrs)	16	16	0	0	0
1,461 to 1,825 days (4-5 Yrs)	11	11	0	0	0
More than 1,825 days (> 5 Yrs)	22	22	0	0	0
Data Not Collected	0	0	0	0	0
Total	5413	4303	1003	102	5

Q22e: Length of Time Prior to Housing - based on 3.917 Date Homelessness Started

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	1648	1217	361	68	2
8 to 14 days	115	97	17	1	0
15 to 21 days	115	71	44	0	0
22 to 30 days	122	86	35	1	0
31 to 60 days	218	148	70	0	0
61 to 180 days	468	350	116	2	0
181 to 365 days	345	262	82	1	0
366 to 730 days (1-2 Yrs)	281	254	27	0	0
731 days or more	711	688	22	1	0
Total (persons moved into housing)	4023	3173	774	74	2
Not yet moved into housing	0	0	0	0	0
Data not collected	1242	1104	107	28	3
Total persons	5263	4277	879	102	5

Q23c: Exit Destination – All persons

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Permanent Destinations	0	0	0	0	0
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing housing subsidy	15	4	11	0	0
Owned by client, with ongoing housing subsidy	8	0	8	0	0
Rental by client, no ongoing housing subsidy	304	87	217	0	0
Rental by client, with VASH housing subsidy	0	0	0	0	0
Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
Rental by client, with other ongoing housing subsidy	35	4	31	0	0
Permanent housing (other than RRH) for formerly homeless persons	1	0	1	0	0
Staying or living with family, permanent tenure	71	18	15	38	0
Staying or living with friends, permanent tenure	6	1	4	1	0
Rental by client, with RRH or equivalent subsidy	0	0	0	0	0
Rental by client, with HCV voucher (tenant or project based)	1	1	0	0	0
Rental by client in a public housing unit	0	0	0	0	0
Subtotal	441	115	287	39	0
Temporary Destinations	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	112	55	56	1	0
Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons (including homeless youth)	60	43	17	0	0
Staying or living with family, temporary tenure (e.g. room, apartment or house)	139	55	80	4	0
Staying or living with friends, temporary tenure (e.g. room, apartment or house)	63	35	28	0	0
Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside)	29	19	10	0	0
Safe Haven	5	5	0	0	0
Hotel or motel paid for without emergency shelter voucher	31	14	17	0	0
Host Home (non-crisis)	0	0	0	0	0
Subtotal	439	226	208	5	0
Institutional Settings	0	0	0	0	0
Foster care home or group foster care home	3	0	0	3	0
Psychiatric hospital or other psychiatric facility	5	4	0	1	0
Substance abuse treatment facility or detox center	15	10	5	0	0
Hospital or other residential non-psychiatric medical facility	12	12	0	0	0
Jail, prison, or juvenile detention facility	0	0	0	0	0
Long-term care facility or nursing home	0	0	0	0	0
Subtotal	35	26	5	4	0
Other Destinations	0	0	0	0	0
Residential project or halfway house with no homeless criteria	0	0	0	0	0
Deceased	2	2	0	0	0
Other	21	9	12	0	0
Client Doesn't Know/Client Refused	93	25	58	10	0
Data Not Collected (no exit interview completed)	2605	2233	365	7	0
Subtotal	2721	2269	435	17	0
Total	3636	2636	935	65	0
Total persons exiting to positive housing destinations	300	91	170	39	0
Total persons whose destinations excluded them from the calculation	17	14	0	3	0
Percentage	8.29 %	3.47 %	18.18 %	62.90 %	--

Q24: Homelessness Prevention Housing Assessment at Exit

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Able to maintain the housing they had at project start--Without a subsidy	85	15	70	0	0
Able to maintain the housing they had at project start--With the subsidy they had at project start	0	0	0	0	0
Able to maintain the housing they had at project start--With an on-going subsidy acquired since project start	0	0	0	0	0
Able to maintain the housing they had at project start--Only with financial assistance other than a subsidy	0	0	0	0	0
Moved to new housing unit--With on-going subsidy	0	0	0	0	0
Moved to new housing unit--Without an on-going subsidy	0	0	0	0	0
Moved in with family/friends on a temporary basis	0	0	0	0	0
Moved in with family/friends on a permanent basis	0	0	0	0	0
Moved to a transitional or temporary housing facility or program	0	0	0	0	0
Client became homeless -- moving to a shelter or other place unfit for human habitation	0	0	0	0	0
Client went to jail/prison	0	0	0	0	0
Client died	0	0	0	0	0
Client doesn't know/Client refused	0	0	0	0	0
Data not collected (no exit interview completed)	0	0	0	0	0
Total	141	24	117	0	0

Q25a: Number of Veterans

	Total	Without Children	With Children and Adults	Unknown Household Type
Chronically Homeless Veteran	46	46	0	0
Non-Chronically Homeless Veteran	205	201	4	0
Not a Veteran	4230	3874	356	0
Client Doesn't Know/Client Refused	8	8	0	0
Data Not Collected	186	174	12	0
Total	4675	4303	372	0

Q26b: Number of Chronically Homeless Persons by Household

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Chronically Homeless	736	710	26	0	0
Not Chronically Homeless	3122	2163	885	74	0
Client Doesn't Know/Client Refused	25	24	0	1	0
Data Not Collected	1530	1406	92	27	5
Total	5413	4303	1003	102	5

APPENDIX C

CPD Maps

CPD Maps – Consolidated Plan and Continuum of Care Planning Tool

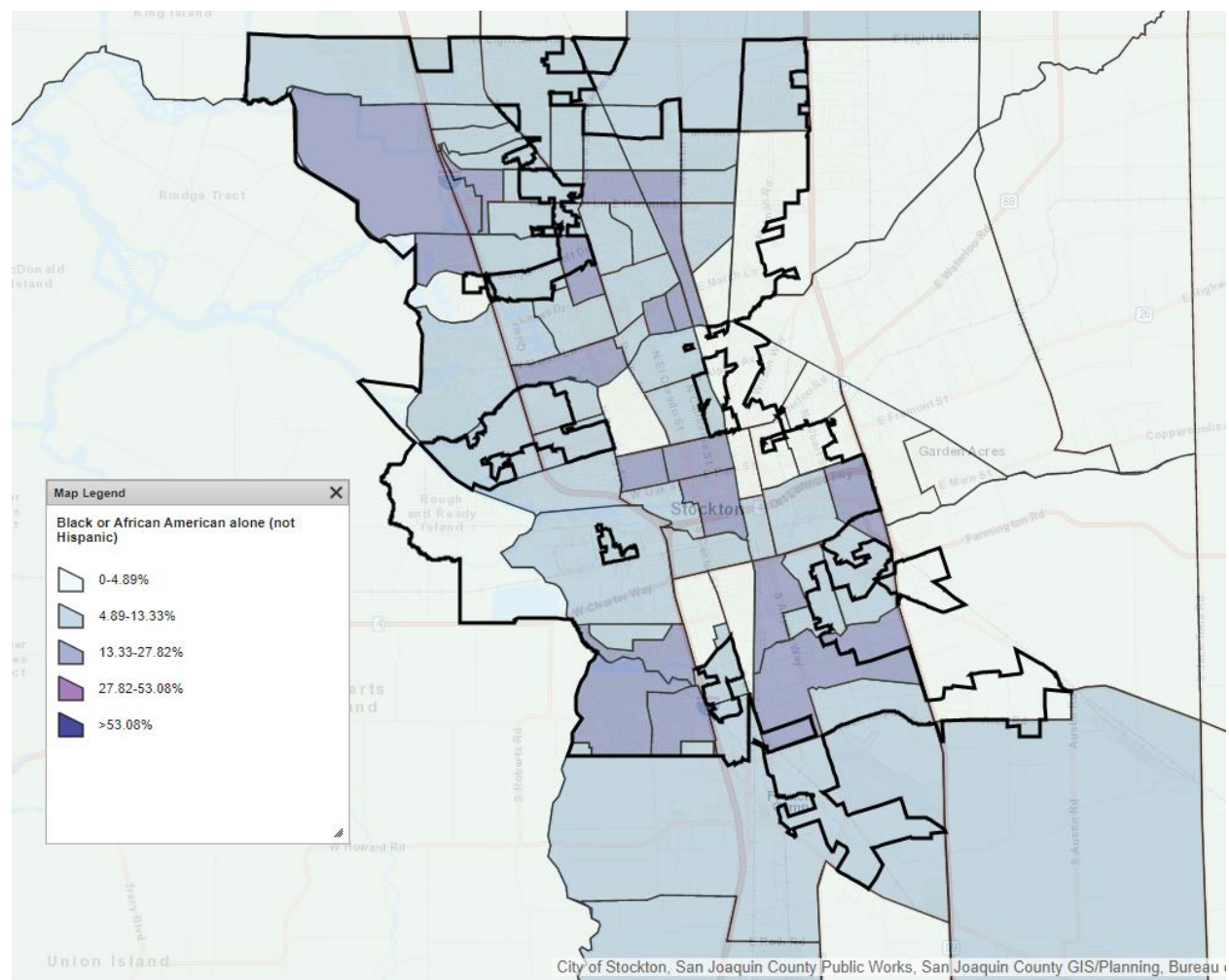


Figure 1 – Black or African American alone (not Hispanic)

CPD Maps – Consolidated Plan and Continuum of Care Planning Tool

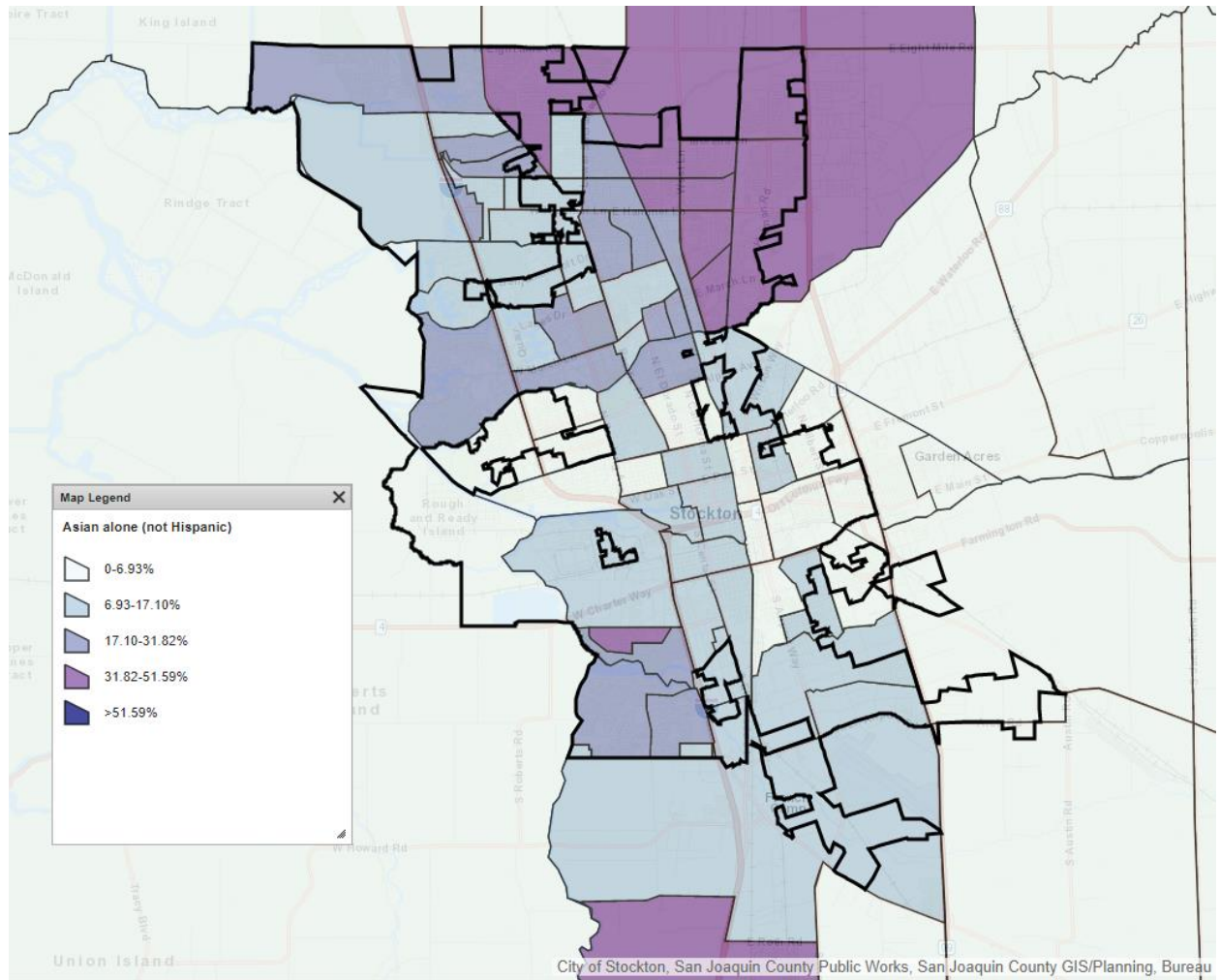


Figure 2 – Asian alone (not Hispanic)

CPD Maps – Consolidated Plan and Continuum of Care Planning Tool

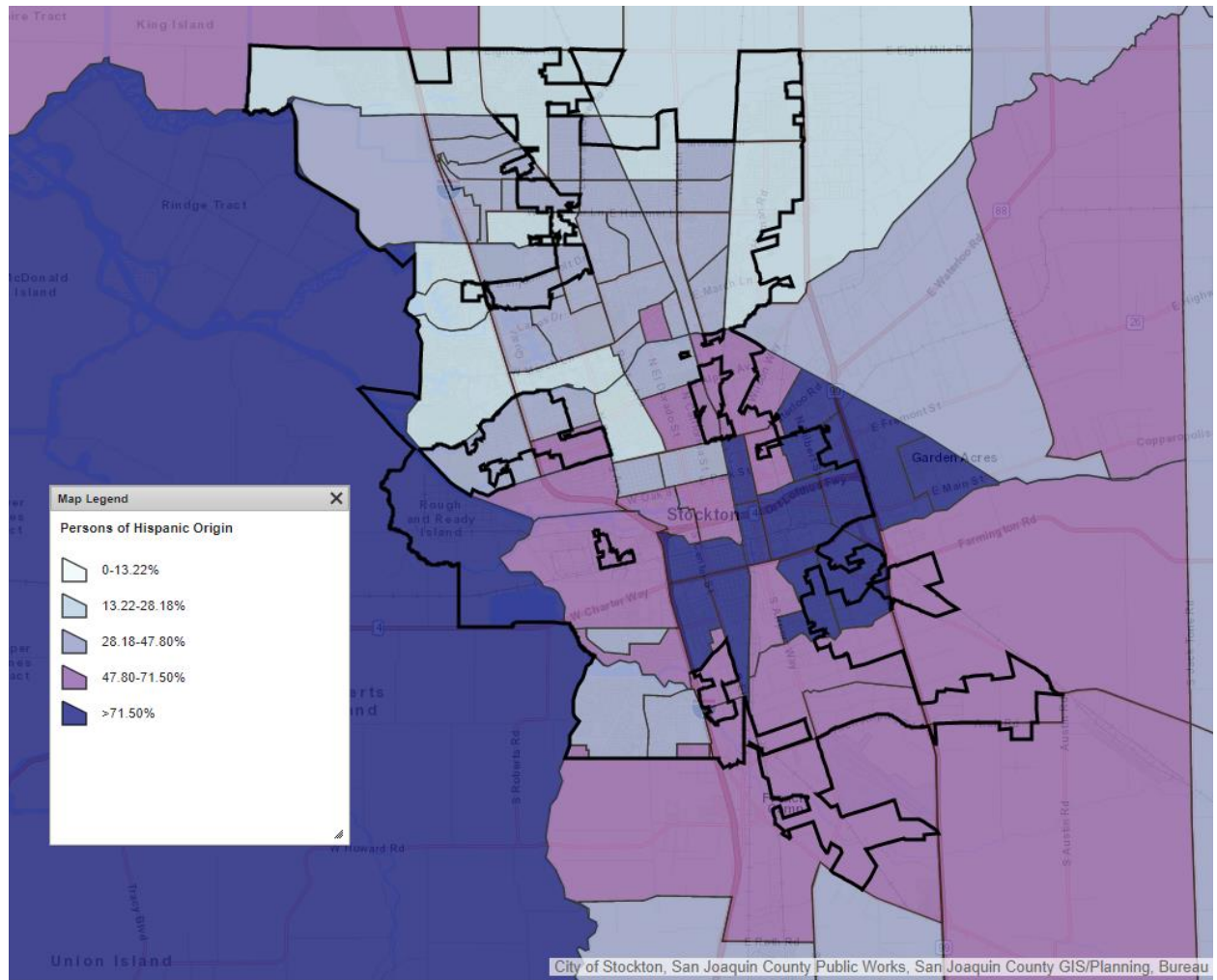


Figure 3 – Persons of Hispanic Origin

CPD Maps – Consolidated Plan and Continuum of Care Planning Tool

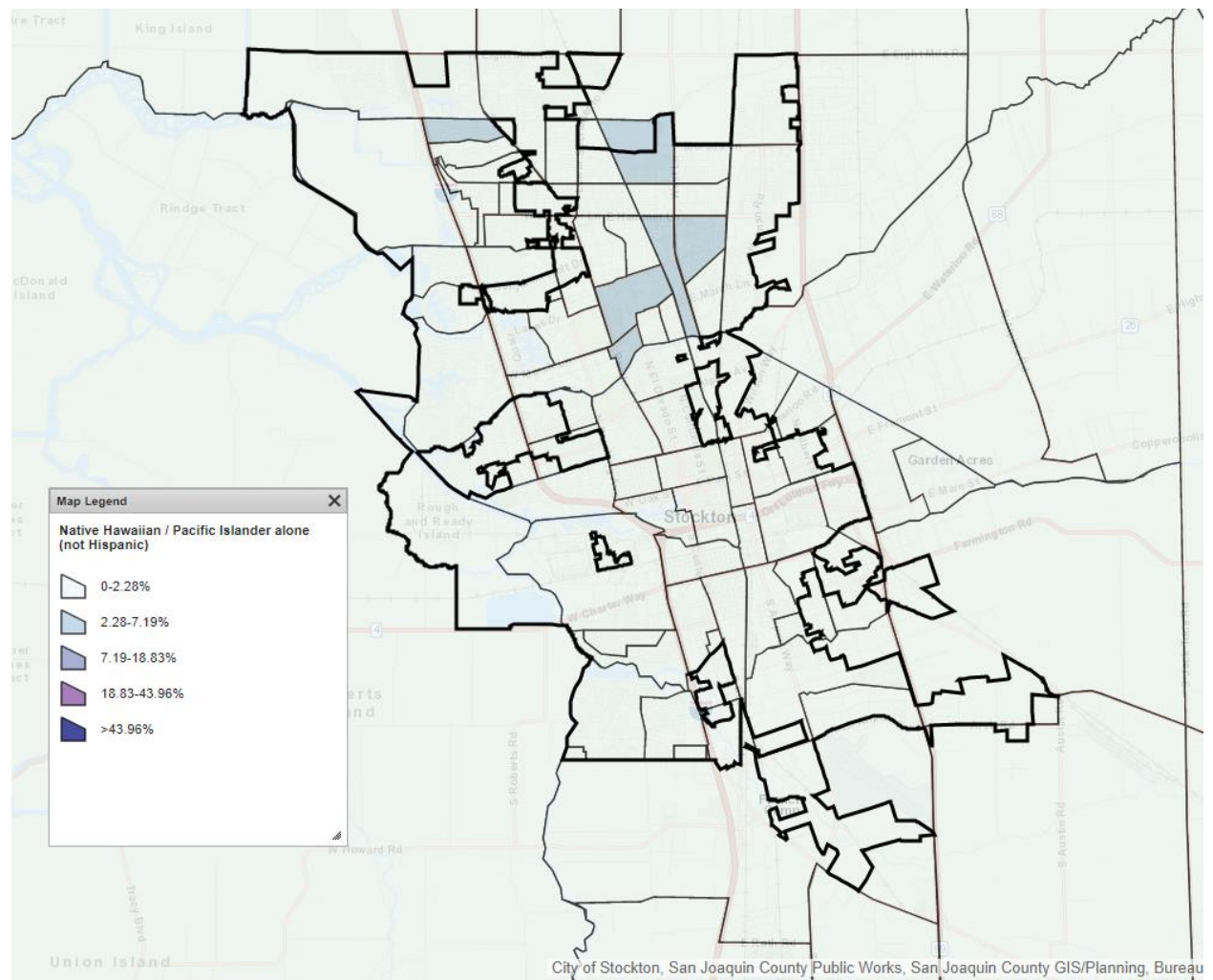


Figure 4 – Native Hawaiian/Pacific Islander alone (not Hispanic)

CPD Maps – Consolidated Plan and Continuum of Care Planning Tool

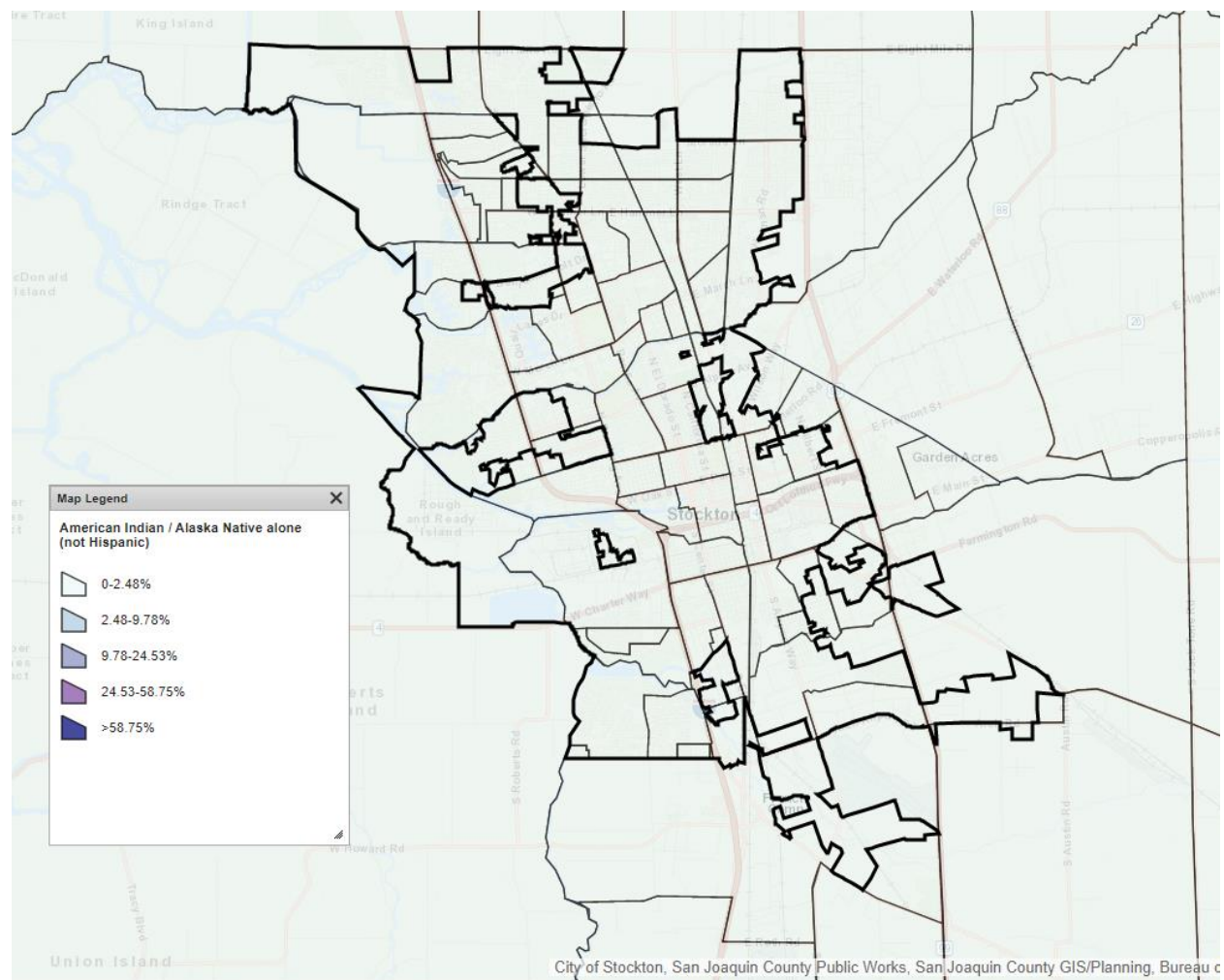


Figure 5 – American Indian/Alaska Native alone (not Hispanic)

CPD Maps – Consolidated Plan and Continuum of Care Planning Tool

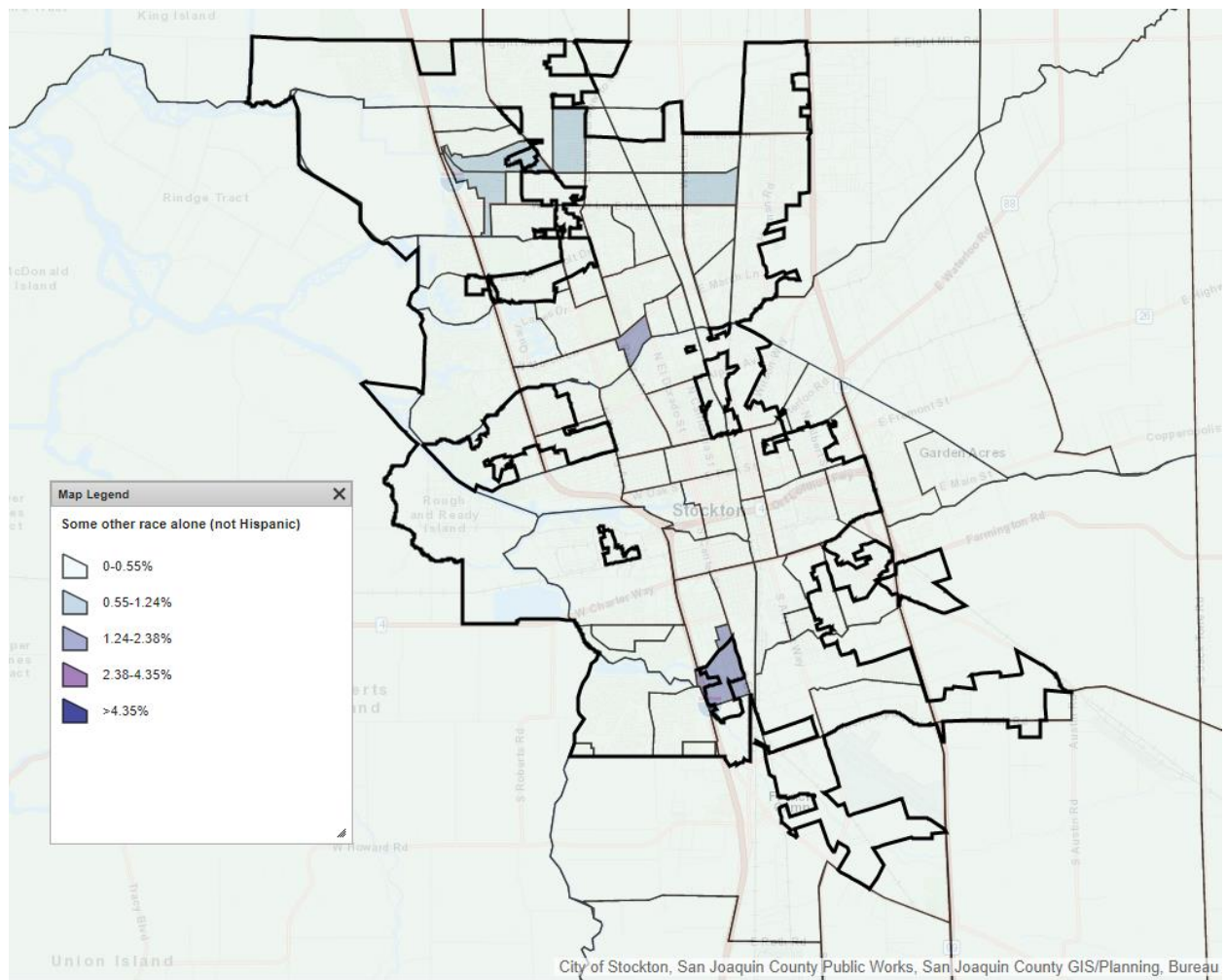


Figure 6 – Some other race alone (not Hispanic)

CPD Maps – Consolidated Plan and Continuum of Care Planning Tool

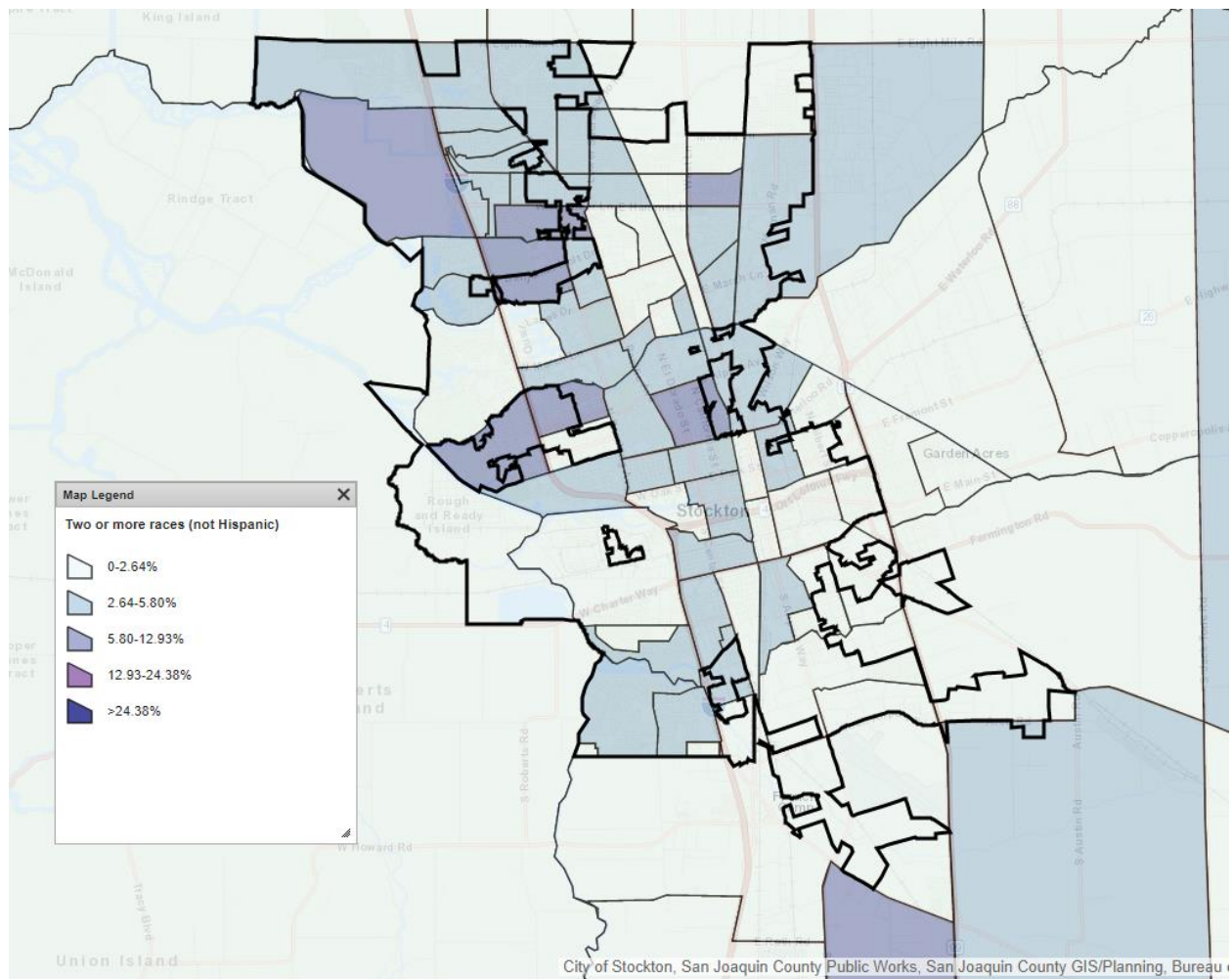


Figure 7 – Two or more race (not Hispanic)

CPD Maps – Consolidated Plan and Continuum of Care Planning Tool

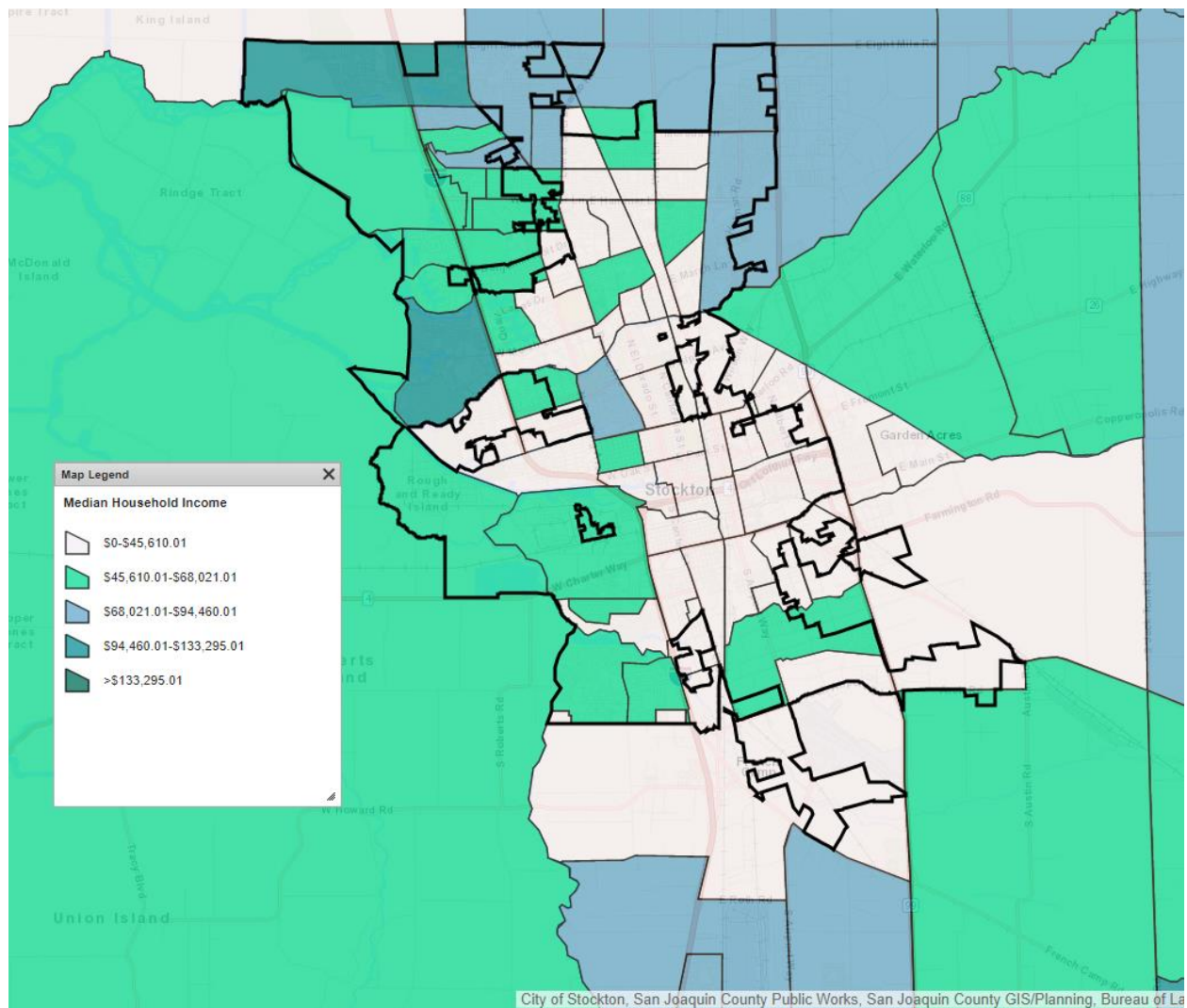


Figure 8 – Median Household Income

CPD Maps – Consolidated Plan and Continuum of Care Planning Tool

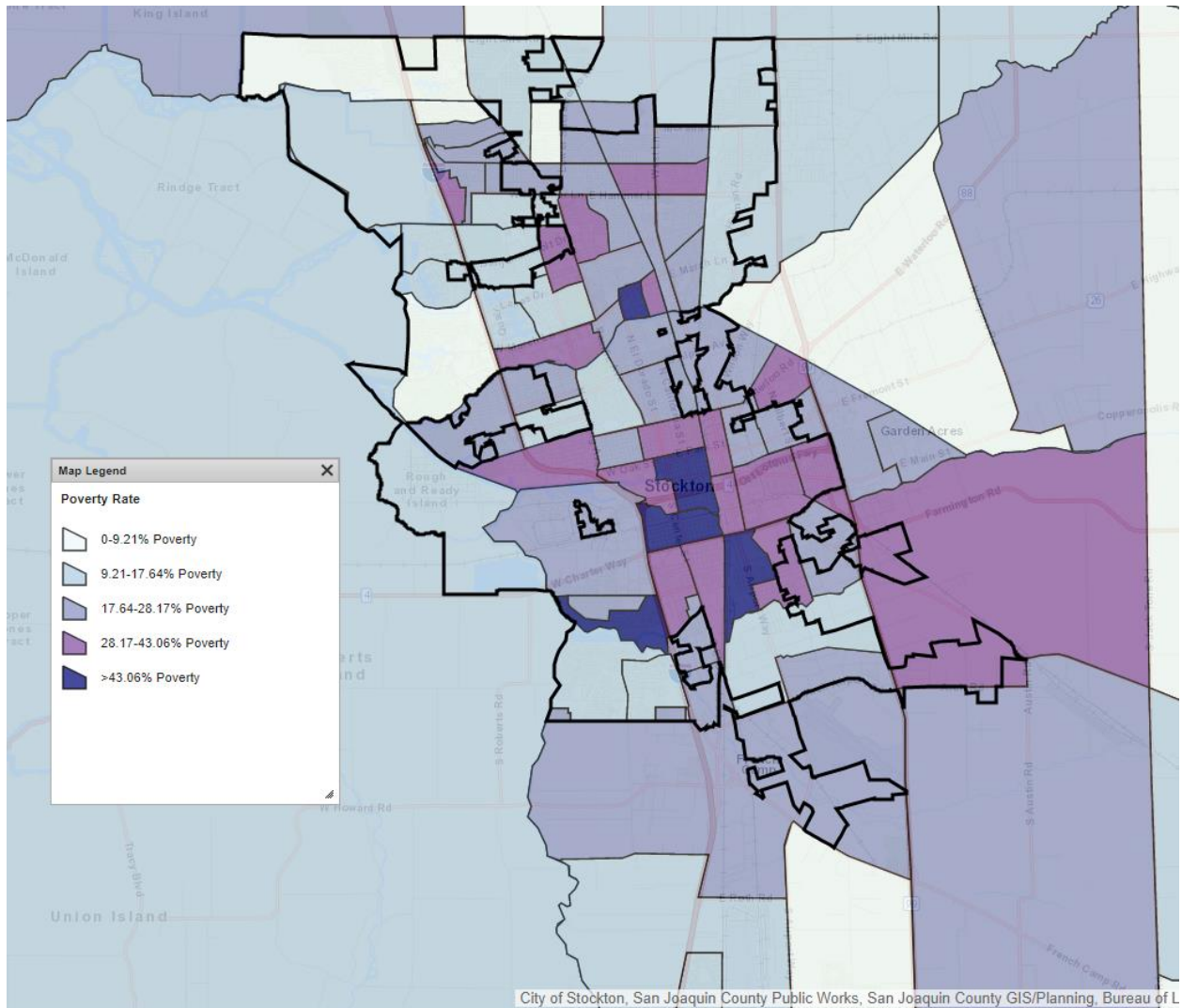


Figure 9 – Poverty Rate

APPENDIX D

Public Notice

**PUBLIC NOTICE
CITY OF STOCKTON 2020-2021 CONSOLIDATED ANNUAL
PERFORMANCE AND EVALUATION REPORT**

The Consolidated Annual Performance and Evaluation Report (CAPER) describes the City's overall performance in meeting its goals and objectives for three Federal Grant Entitlement Programs under the U.S. Department of Housing and Urban Development (HUD): Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), and Emergency Solutions Grant (ESG).

Copies of the draft 2020-2021 CAPER will be available to the public on or after September 13, 2021, at the following accessible location: Economic Development Department, 400 E. Main Street, 4th Floor, Stockton, or on-line at www.stocktongov.com/Housing. All interested parties are invited to provide comments. The 15-day comment period begins on September 13, 2021, and ends on September 27, 2021.

If you are disabled and require a copy of this public notice, in an appropriate alternative format; or if you require other accommodation, please contact the Office of the City Clerk located at 425 N. El Dorado St., Stockton, CA 95202 during normal business hours or by calling (209) 937-8459.

All written comments on the CAPER must be received on or before September 27, 2021, by 5:00 p.m., and are to be sent to Ty Wilson-Robinson, Deputy Housing Director, City of Stockton, Economic Development Department, 400 E. Main Street, 4th Floor, Stockton 95202.

ELIZA R. GARZA, CMC
CITY CLERK
CITY OF STOCKTON

#247893 9/9/21